

# Time-dependent density functional theory for ultrafast electron dynamics in solid

First-principles computational approach for Frontiers of Laser Sciences

Kazuhiro YABANA

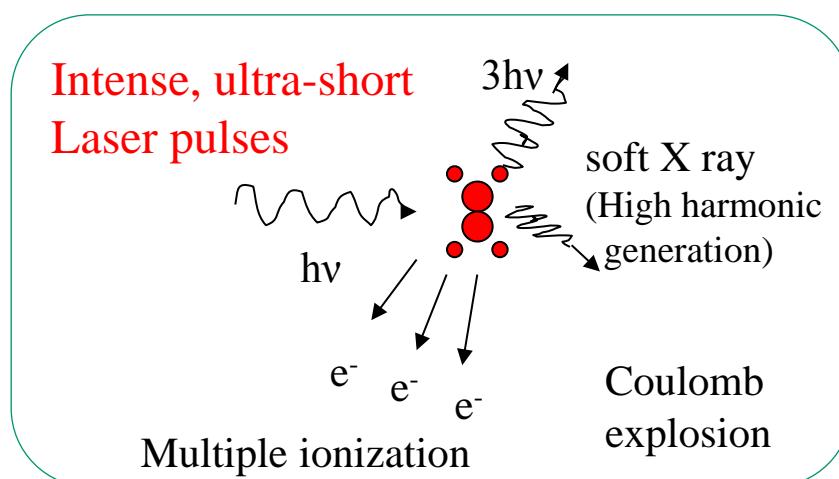
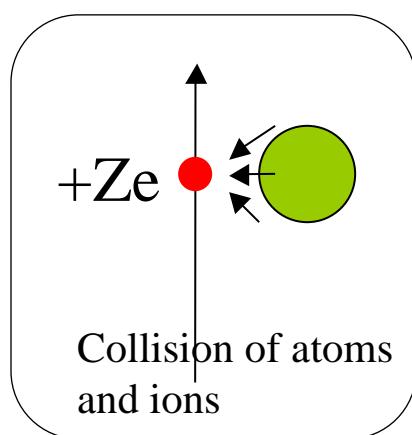
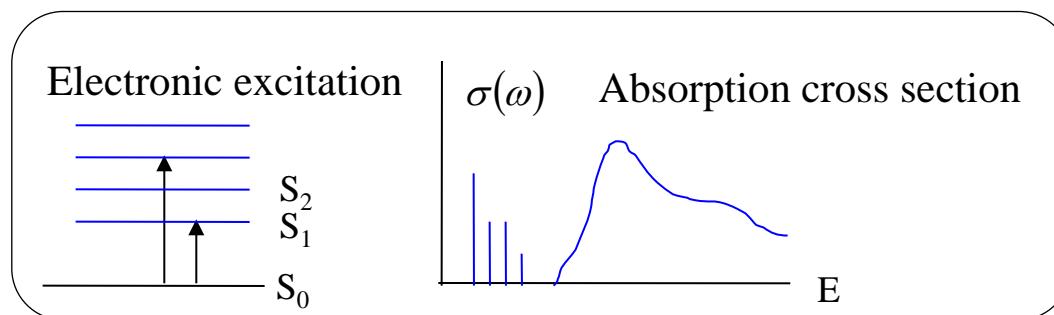
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# TDDFT: First-principles tool for electron dynamics simulation

$$i\hbar \frac{\partial}{\partial t} \psi_i(\vec{r}, t) = \{h_{KS}[\rho(\vec{r}, t)] + V_{ext}(\vec{r}, t)\} \psi_i(\vec{r}, t)$$

Linear response regime  
(Perturbation theory)

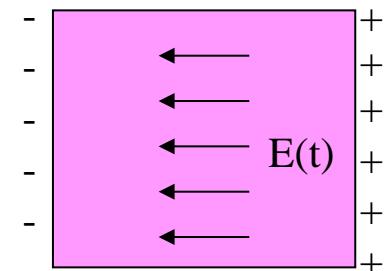
$$\rho(\vec{r}, t) = \sum_i |\psi_i(\vec{r}, t)|^2$$



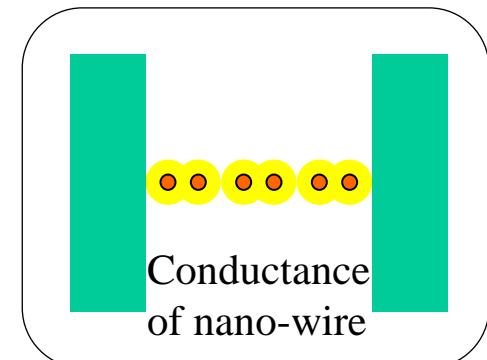
Nonlinear, nonperturbative regime  
(Initial value problem)

Laser-solid interaction

Dielectric function



Coherent phonon  
Electron-hole plasma  
Optical breakdown



Conductance  
of nano-wire

# Theoretical description of light-matter interactions

A standard description

Electromagnetism:

Maxwell equation for  
macroscopic fields,  
 $E, D, B, H$

Constitution relation

$$D = D[E] = \epsilon(\omega)E$$

Quantum Mechanics:

Perturbation theory to  
calculate linear susceptibilities,  
 $\epsilon(\omega)$

In current frontiers of optical sciences,

Nanosized material requires nonlocal description in space

$$D(\vec{r}, t) = \int^t dt' \epsilon(\vec{r}, \vec{r}', t - t') E(\vec{r}', t')$$

Ultra-short laser pulses require real-time description

$$D(\vec{r}, t) = \int^t dt' \epsilon(t - t') E(\vec{r}, t')$$

Strong electric field induces nonlinear electron dynamics

$$D(\vec{r}, t) = \int^t dt' \epsilon(t - t') E(\vec{r}, t') + 4\pi \int^t dt' \int^t dt'' \chi^{(2)}(t - t', t - t'') E(\vec{r}, t') E(\vec{r}, t'') + \dots$$

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1. A frontier in light - matter interaction : intense and ultrafast
  - Theories and computations required in current optical sciences -
2. Real-time and real-space calculation for electron dynamics in solid
  - time-dependent band calculation, treatment of boundaries. -
3. Electron dynamics in solid for a given electric field
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  - First-principles simulation for macroscopic electromagnetic field in intense regime,  
Dense electron-hole plasma induced by intense laser pulses -

# Frontiers in Optical Sciences: Intense field

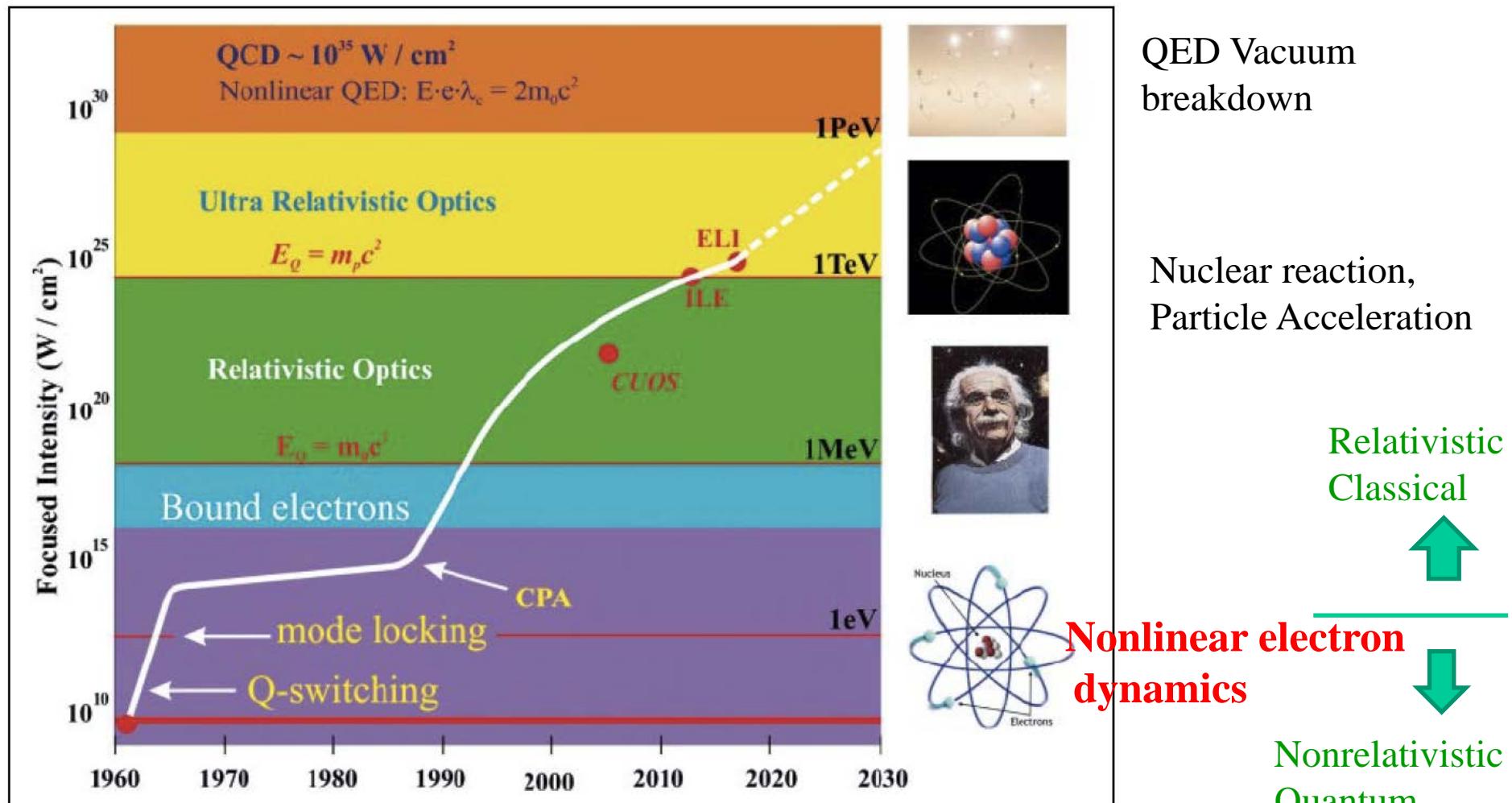
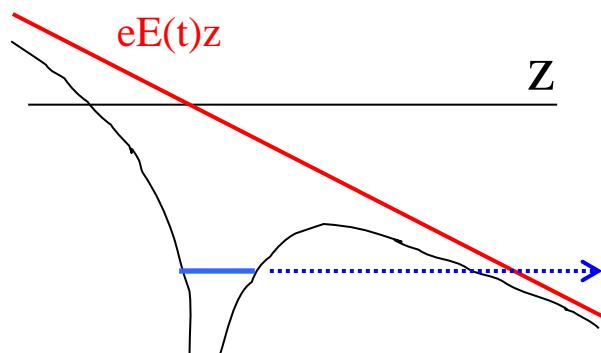
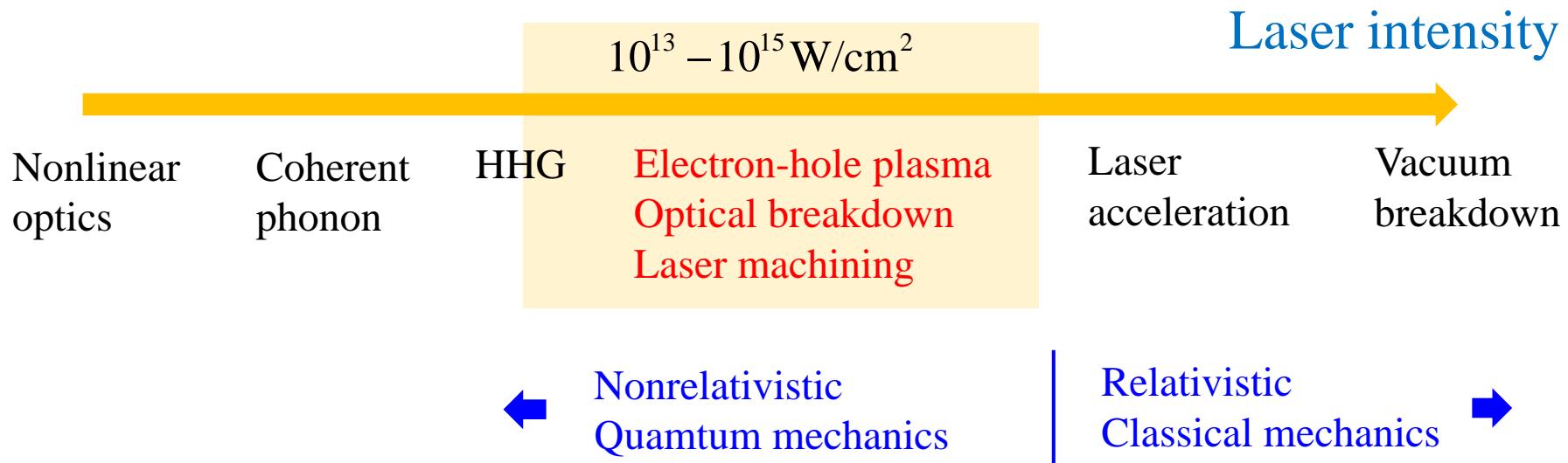


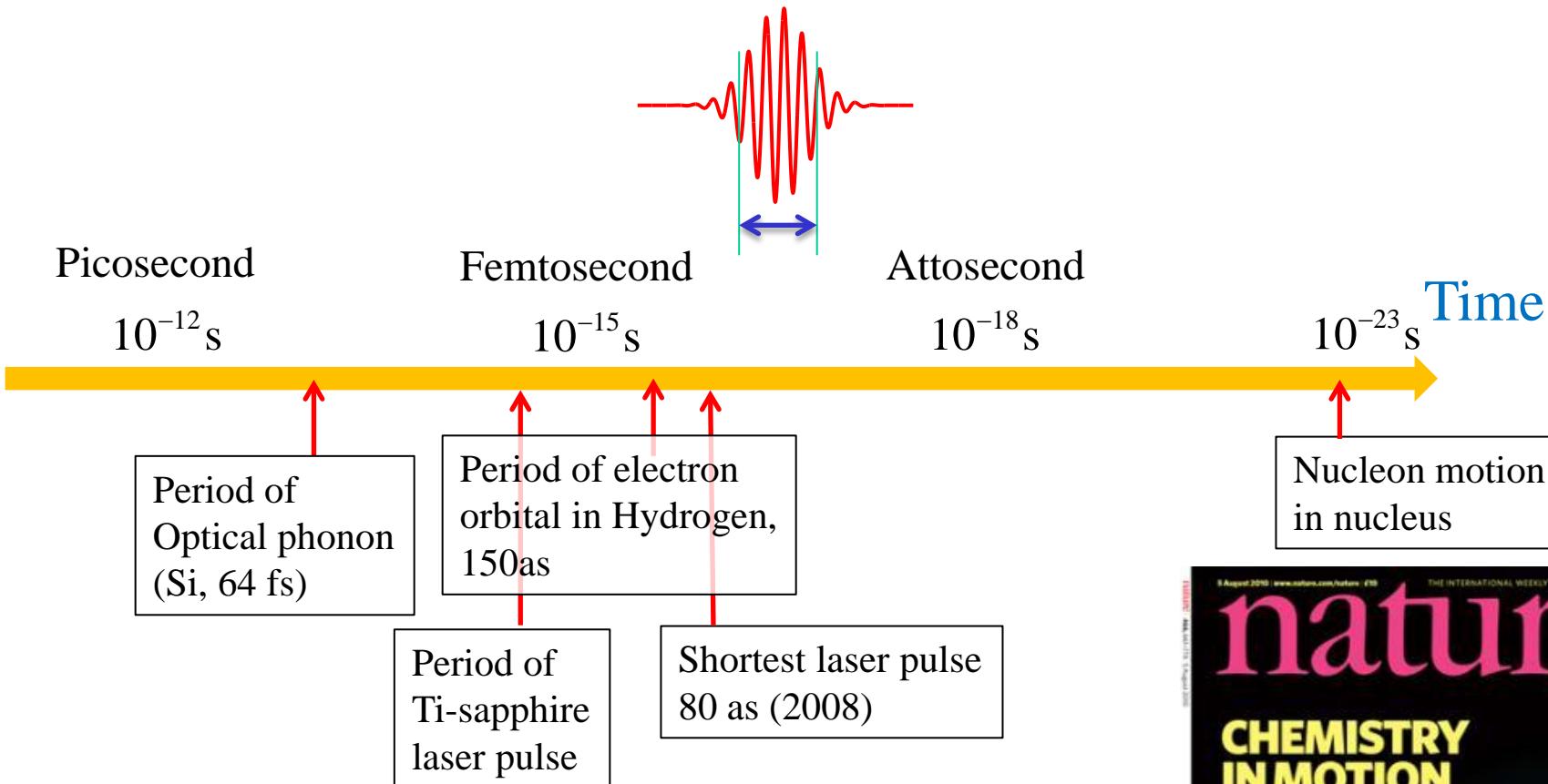
FIG. 1: Maximum laser intensity as a function of time and fields of research accessible with these intensities.

# Phenomena in intense laser pulses irradiated on bulk materials

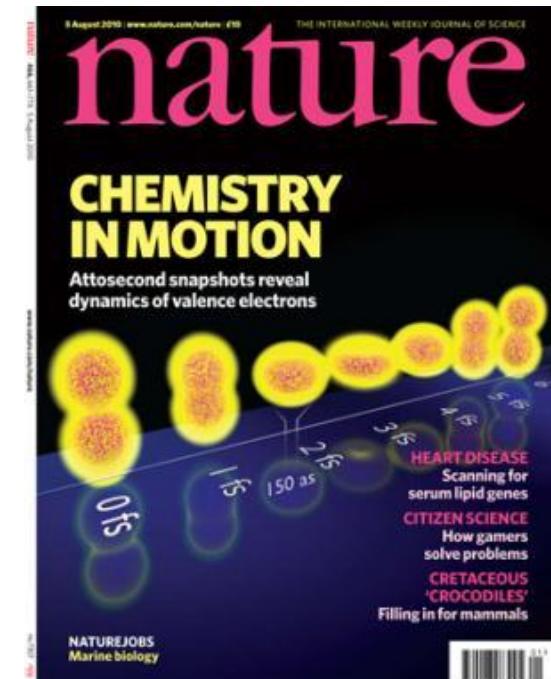


External electric field by laser pulse  
≈ Internal electric field by nuclei

# Frontiers in Optical Sciences: Ultrafast dynamics

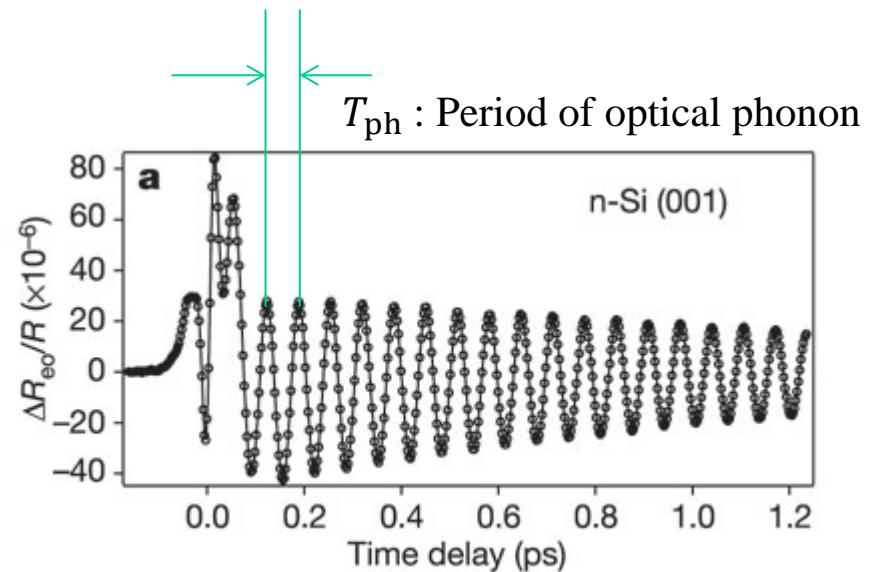
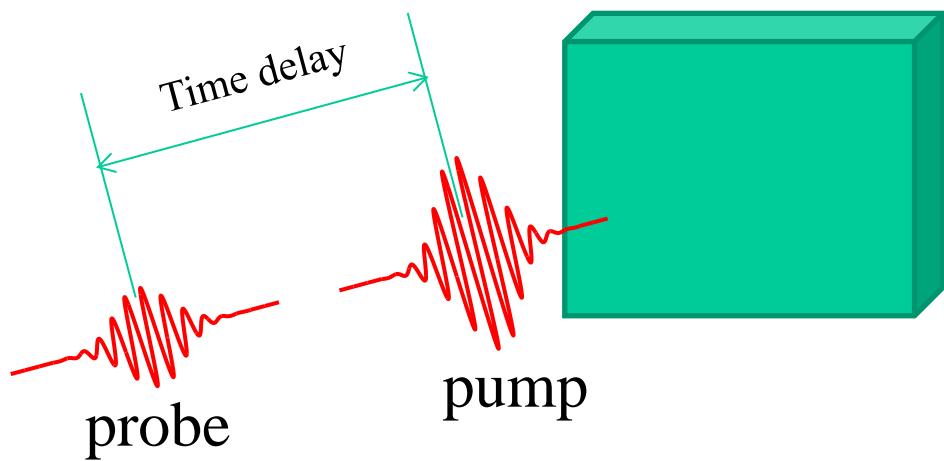


Real-time observation of valence electron motion  
E. Goulielmakis et.al, Nature 466, 739 (2010).



# Pump-Probe Measurement: Coherent Phonon

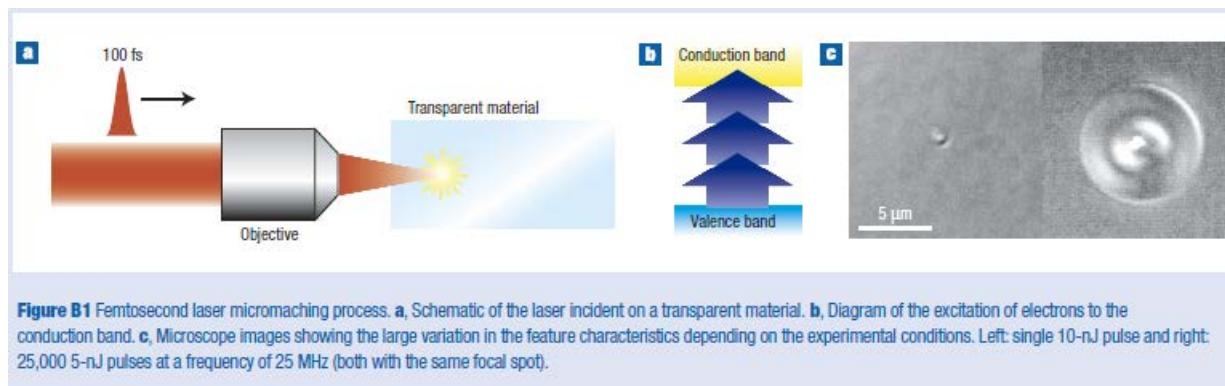
Intense 1<sup>st</sup> pulse excites electrons and atoms.  
Weak 2<sup>nd</sup> pulse measure change of reflectivity.



M. Hase, et al. Nature (London) 426, 51 (2003)

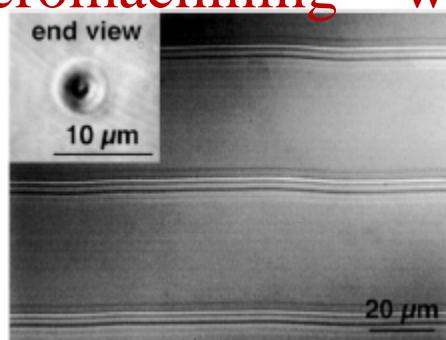
$$\frac{\Delta R(t)}{R} \propto e^{-\Gamma t} \sin(\Omega_{ph}t + \phi)$$

# Femto-technology: nonthermal machining by femtosecond laser pulses



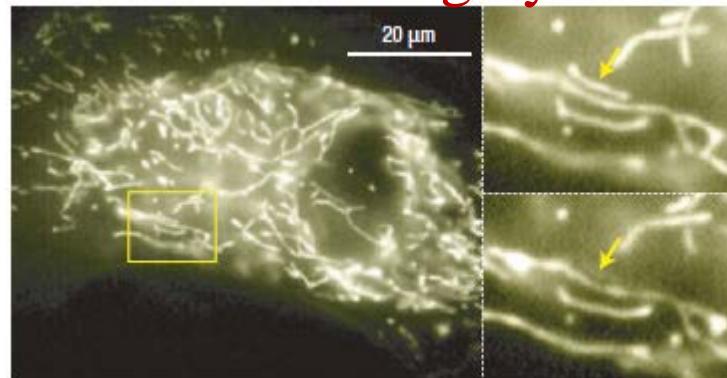
R.R. Gattass, E. Mazur, Nature Photonics 2, 220 (2008).

## Micromachining – waveguide-



Optical microscope image of waveguides written inside bulk glass by a 25-MHz train of 5-nJ sub-100-fs pulses, C.B. Schaffer et.al, OPTICS LETTERS 26, 93 (2001)

## Nanosurgery



Ablation of a single mitochondrion in a living cell.  
N. Shen et.al, Mech. Chem. Biosystems, 2, 17 (2005).

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# Calculation in real-time and real-space

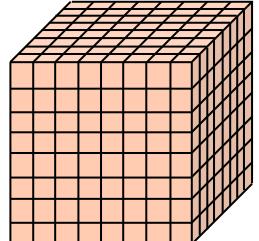
Time-dependent Kohn-Sham equation

$$i\hbar \frac{\partial}{\partial t} \psi_i(\vec{r}, t) = h_{KS}[n(\vec{r}, t)] \psi_i(\vec{r}, t) \quad n(\vec{r}, t) = \sum_i |\psi_i(\vec{r}, t)|^2$$

$$h_{KS}[n(\vec{r}, t)] = -\frac{\hbar^2}{2m} \nabla^2 + V_{ion}(\vec{r}) + \underbrace{\int d\vec{r}' \frac{e^2}{|\vec{r} - \vec{r}'|}}_{\text{Norm-conserving pseudopotential}} + \mu_{xc}[n(\vec{r}, t)] + V_{ext}(\vec{r}, t)$$

Norm-conserving pseudopotential

3D real-space grid representation, high order finite difference

$$-\frac{\hbar^2}{2m} \left[ \sum_{n_1=-N}^N C_{n_1} \psi(x_i + n_1 h, y_j, z_k) + \sum_{n_2=-N}^N C_{n_2} \psi(x_i, y_j + n_2 h, z_k) + \sum_{n_3=-N}^N C_{n_3} \psi(x_i, y_j, z_k + n_3 h) \right] + [V_{ion}(x_i, y_j, z_k) + V_H(x_i, y_j, z_k) + V_{xc}(x_i, y_j, z_k)] \psi(x_i, y_j, z_k) = E \psi(x_i, y_j, z_k).$$


Time evolution: 4-th order Taylor expansion

$$\psi_i(t + \Delta t) = \exp \left[ \frac{h_{KS}(t)\Delta t}{i\hbar} \right] \psi_i(t) \approx \sum_{k=0}^N \frac{1}{k!} \left( \frac{h_{KS}(t)\Delta t}{i\hbar} \right)^k \psi_i(t), \quad N = 4$$

# Treatment of optical electric field

We assume a long wavelength limit, lattice const.  $\ll$  light wavelength



Electron dynamics in a unit cell under time-dependent, spatially uniform field

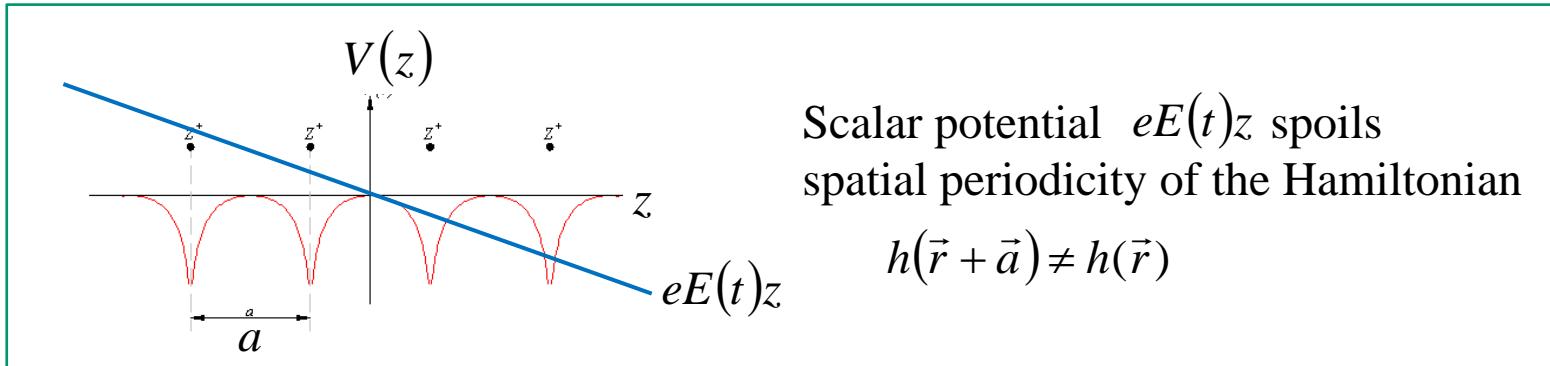
## Two key issues

Use (spatially uniform) vector potential instead of (spatially linear) scalar potential to express macroscopic electric field.

Boundary effect (macroscopic shape effect) needs to be considered from outside of the theory.

We can manage them by the appropriate choice of gauge.

# Electron dynamics in crystalline solid under spatially uniform field



Employing a vector potential replacing the scalar potential, one may recover the periodicity of the Hamiltonian

Time-dependent, spatially uniform electric field

$$\vec{E} = -\vec{\nabla}\phi - \frac{1}{c} \frac{\partial \vec{A}}{\partial t}$$

$$\phi = E(t)z \Leftrightarrow \vec{A}(t) = c \int^t dt' E(t') \hat{z}$$


---

$$i\hbar \frac{\partial}{\partial t} \psi(t) = \left[ \frac{1}{2m} \left( \vec{p} - \frac{e}{c} \vec{A}(t) \right)^2 + V(\vec{r}, t) \right] \psi(t)$$

$$h(\vec{r} + \vec{a}, t) = h(\vec{r}, t) \quad \underline{\psi_{nk}(\vec{r} + \vec{a}, t) = e^{i\vec{k}\vec{a}} \psi_{nk}(\vec{r}, t)}$$

Time-dependent Bloch function

## Equation for time-dependent Bloch function

$$i\hbar \frac{\partial}{\partial t} u_{n\vec{k}}(\vec{r}, t) = \left[ \frac{1}{2m} \left( \vec{p} + i\vec{k} - \frac{e}{c} \vec{A}(t) \right)^2 + V(\vec{r}, t) \right] u_{n\vec{k}}(\vec{r}, t)$$
$$n(\vec{r}, t) = \sum_{nk} |u_{n\vec{k}}(\vec{r}, t)|^2$$

$$\psi_{nk}(\vec{r}, t) = e^{i\vec{k}\vec{r}} u_{nk}(\vec{r}, t)$$
$$u_{nk}(\vec{r} + \vec{a}, t) = u_{nk}(\vec{r}, t)$$

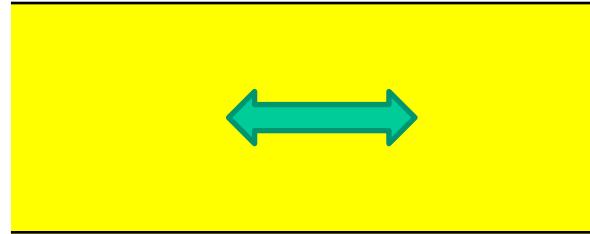
# Treatment of Polarization (Macroscopic Shape of Sample)

- We solve electron dynamics in a unit cell inside a crystalline solid.
- The electric field in the unit cell depends on macroscopic geometry of the sample.
- We need to specify the ‘macroscopic geometry’ in the calculation.

$$i\hbar \frac{\partial}{\partial t} \psi_{nk}(t) = \left[ \frac{1}{2m} \left( \vec{p} - \frac{e}{c} \vec{A}(t) \right)^2 + V(\vec{r}, t) \right] \psi_{nk}(t)$$

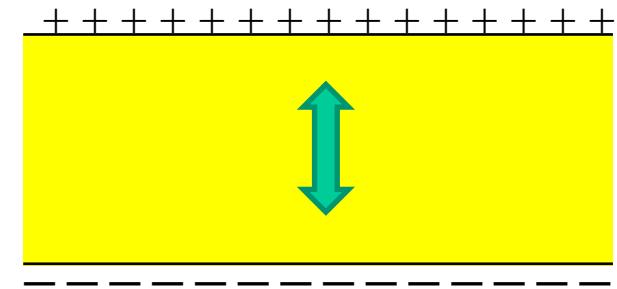
$$\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t} \quad \vec{A}(t) = c \int_0^t dt' E(t') \hat{z}$$

Optical response  
of thin film



Transverse

$$A(t) = A_{ext}(t)$$



Longitudinal

$$A(t) = A_{ext}(t) + A_{polarization}(t)$$

# Case of longitudinal geometry: Treatment of induced polarization

Bertsch, Iwata, Rubio, Yabana, Phys. Rev. B62(2000)7998.

“parallel-plate-capacitor with dielectrics”.

$$A(t) = A_{extr}(t) + A_{polarization}(t)$$

Equation for polarization

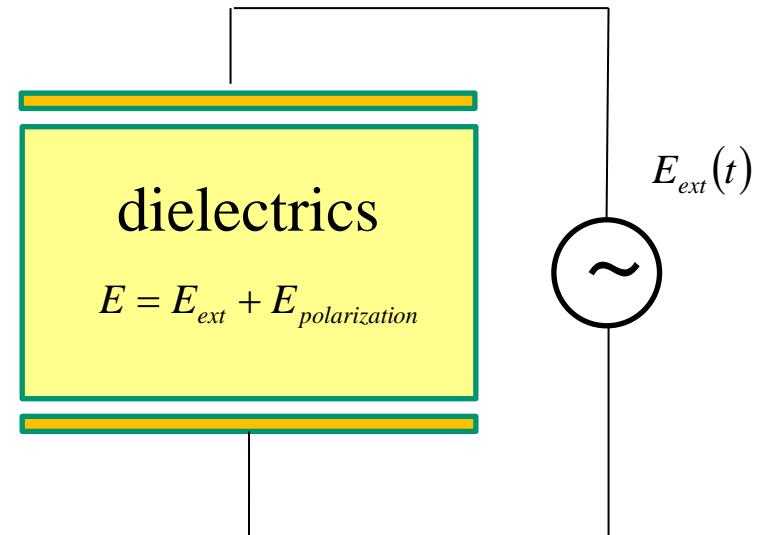
$$\frac{d^2 \vec{A}_{polarization}(t)}{dt^2} = \frac{4\pi}{\Omega} \int_{\Omega(cell)} d\vec{r} \vec{j}(\vec{r}, t)$$

$$\vec{A}(t) \quad \downarrow \quad \uparrow \quad \vec{j}(\vec{r}, t)$$

Time-dependent Kohn-Sham equation

$$i\hbar \frac{\partial}{\partial t} \psi_i = \frac{1}{2m} \left( -i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_i - e\phi \psi_i + \frac{\delta E_{xc}}{\delta n} \psi_i$$

$$n = \sum_i |\psi_i|^2 \quad \vec{j} = \frac{1}{2m} \sum_i \left( \psi_i^* \left( \vec{p} + \frac{e}{c} \vec{A} \right) \psi_i - c.c. \right)$$



$$E(t) = -\frac{1}{c} \frac{\partial A(t)}{\partial t}$$

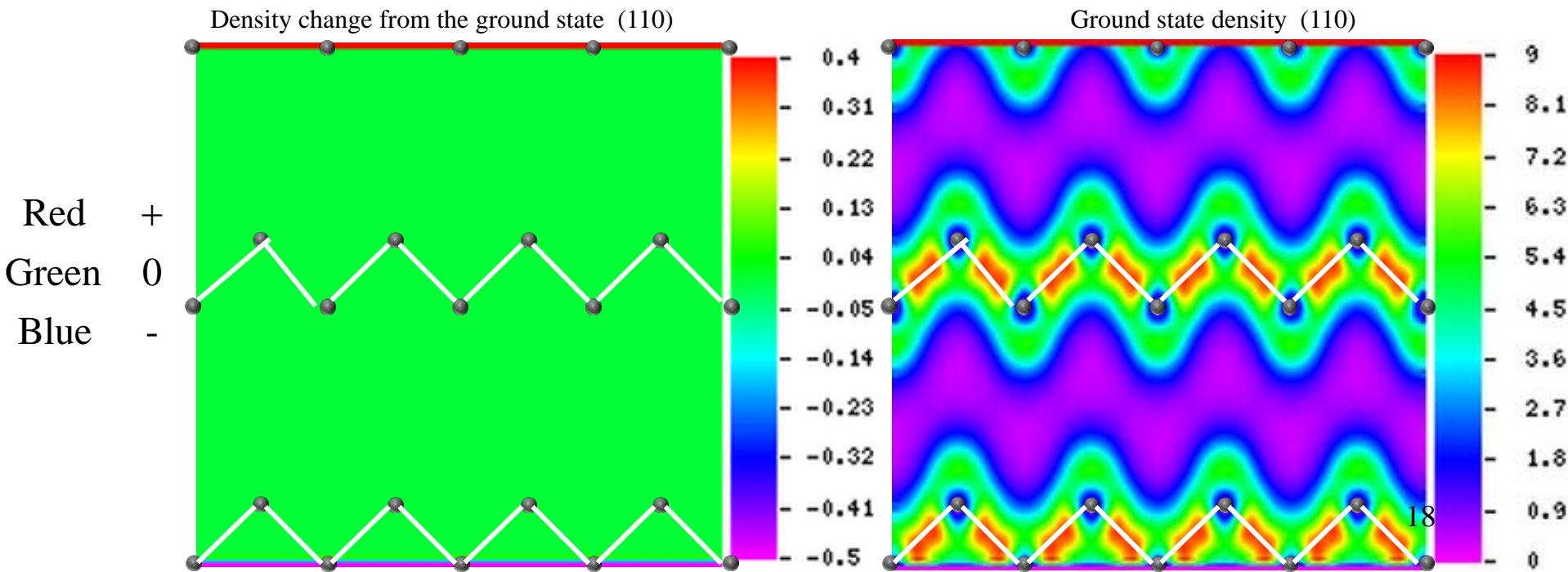
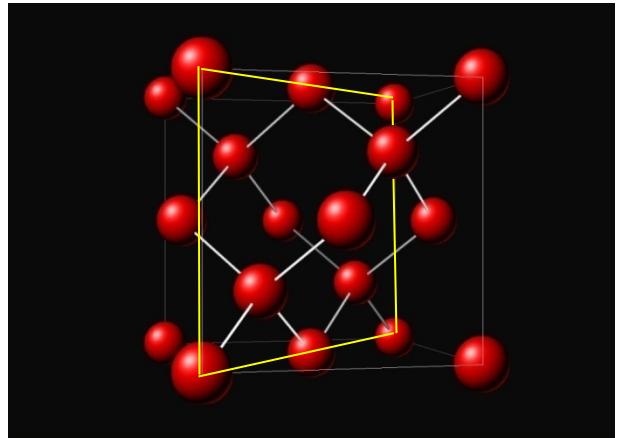
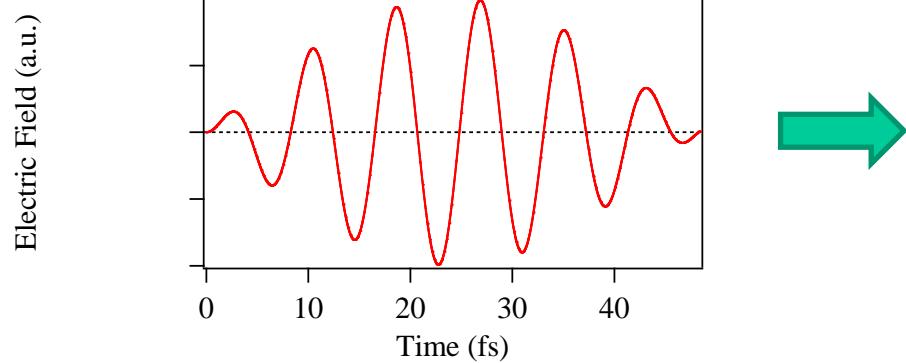
$$A(t) = -c \int^t E(t') dt'$$

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# Electron dynamics in bulk silicon under pulse light

$I = 3.5 \times 10^{14} \text{ W/cm}^2$ ,  $T = 50 \text{ fs}$ ,  $\hbar\omega = 0.5 \text{ eV}$   
Laser photon energy is much lower than direct bandgap.



## Linear response:

Two methods to calculate dielectric function from real-time electron dynamics

### Transverse geometry

$$J(t) = \int dt' \sigma(t-t') \left( -\frac{1}{c} \frac{dA}{dt} \right)$$

$$\sigma(\omega) = \int dt e^{i\omega t} \sigma(t)$$

$$\varepsilon(\omega) = 1 + \frac{4\pi i \sigma(\omega)}{\omega}$$

### Longitudinal geometry

$$A_{tot}(t) = A_{ext}(t) + A_{pol}(t)$$

$$\frac{d^2 A_{pol}(t)}{dt^2} = 4\pi J(t)$$

$$A_{tot}(t) = \int dt' \varepsilon^{-1}(t-t') A_{ext}(t')$$

$$\frac{1}{\varepsilon(\omega)} = \frac{\int dt e^{i\omega t} A_{tot}(t)}{\int dt e^{i\omega t} A_{ext}(t)}$$

For an impulsive external field,

$$E(t) = k\delta(t), \quad A(t) = -kc\theta(t)$$

$$J(t) = k\sigma(t)$$

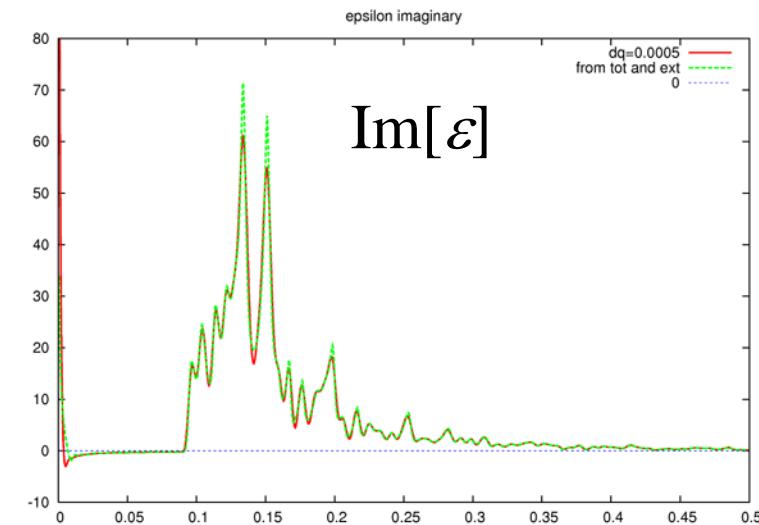
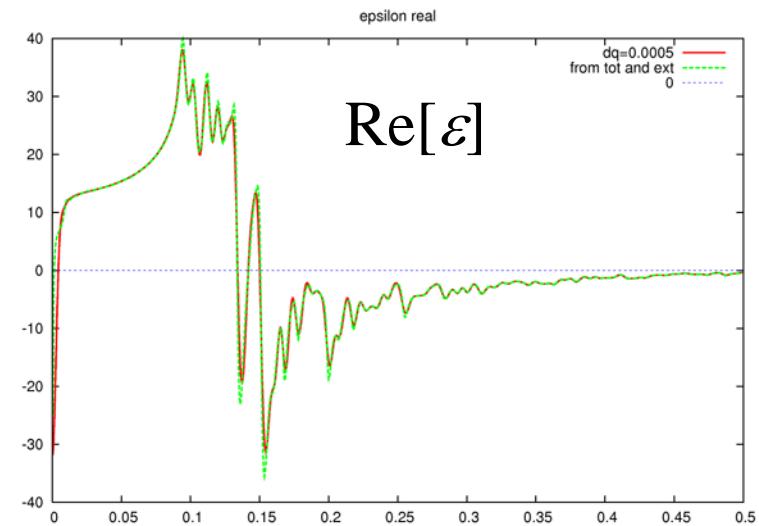
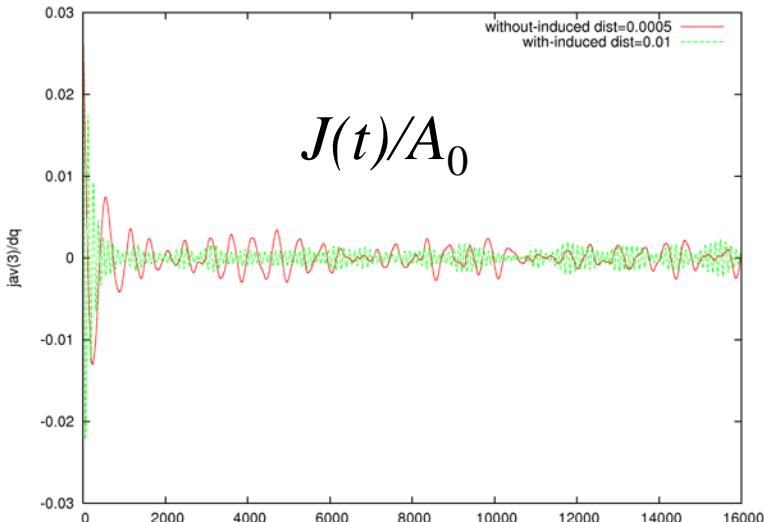
$$E_{tot}(t) = -\frac{1}{c} \frac{dA_{tot}}{dt} = k\varepsilon^{-1}(t)$$

# Comparison of numerical results for dielectric function of Si by two methods

- Green : longitudinal
- Red : transverse

Spatial grid:  $16^3$   
k-points :  $8^3$

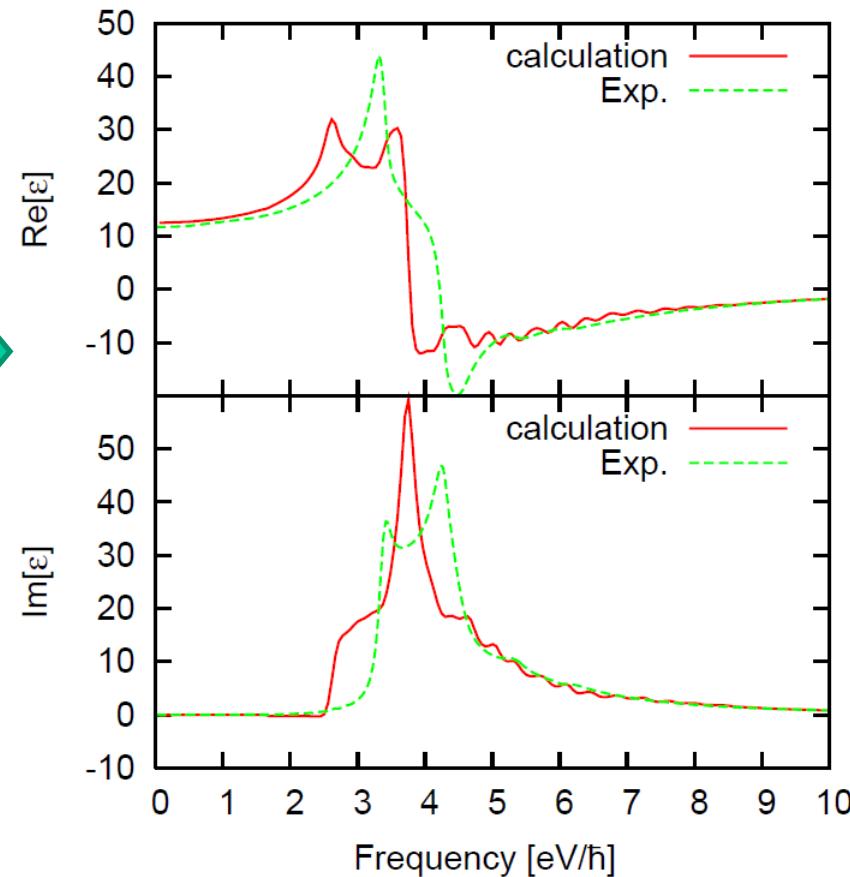
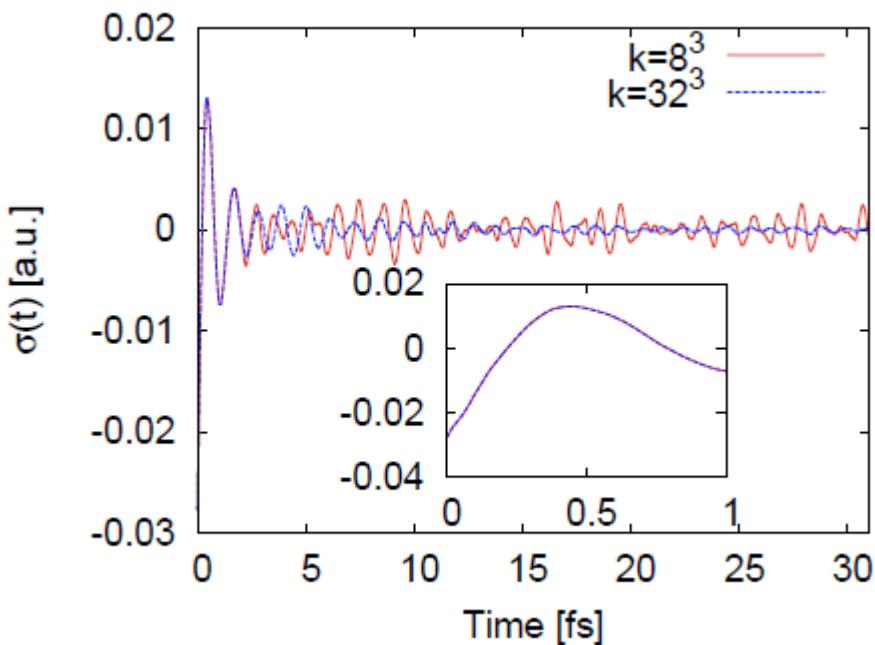
Distortion strength:  
 $A_0 = 0.01$  (longitudinal)  
 $0.0005$  (transverse)



# Dielectric function of Si in TDDFT (ALDA)

## Transverse calculation

Instantaneous pulse field is applied at  $t=0$ ,  
the induced current as a function of time.



Dielectric function by TDDFT (ALDA) is not accurate enough.

# GW approx. + Bethe-Salpeter

Most successful but  
Beyond Kohn-Sham  
framework

Dots: experiment  
Dash-dotted: RPA  
Solid: Bethe-Salpeter

G. Onida, L. Reining, A. Rubio,  
Rev. Mod. Phys. 74(2002)601.

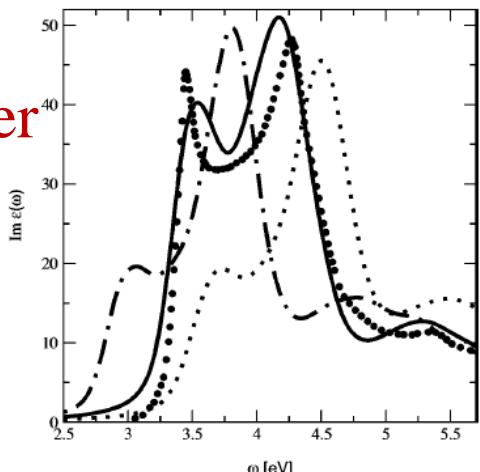
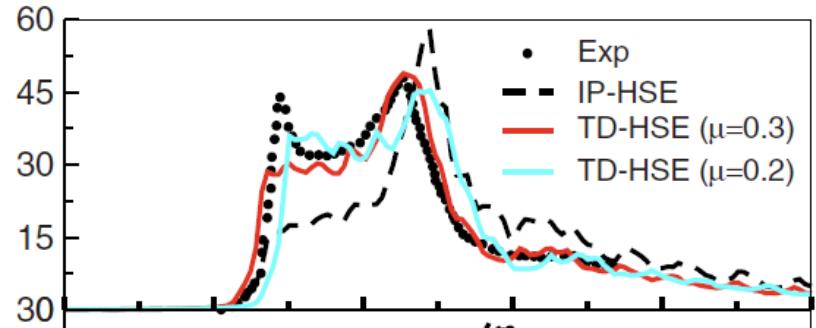
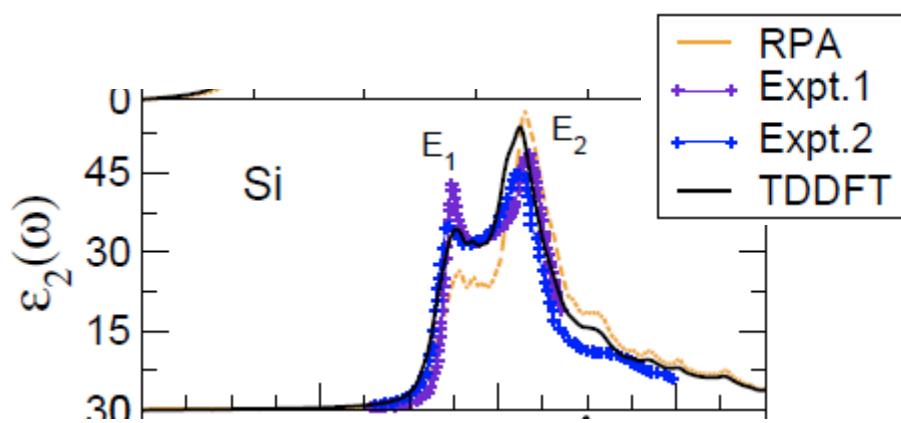
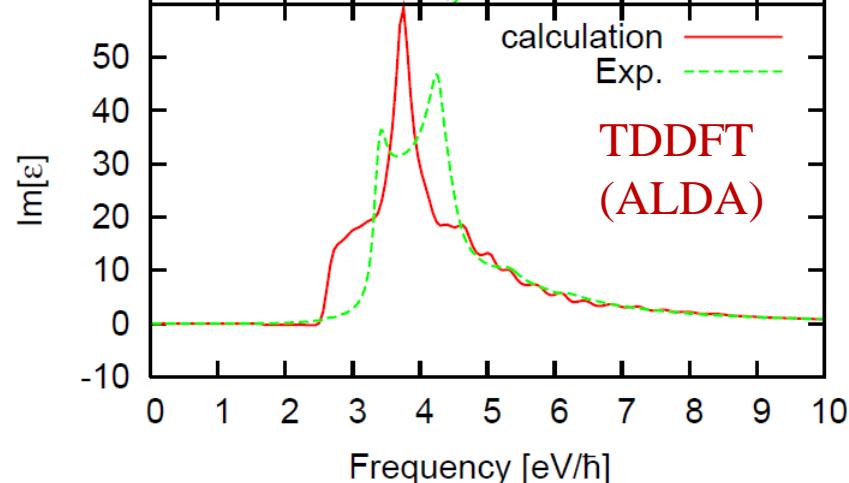


FIG. 5. Silicon absorption spectrum [ $\text{Im}(\epsilon_M)$ ]: ●, experiment (Lautenschlager *et al.*, 1987); dash-dotted curve, RPA, including local field effects; dotted curve, GW-RPA; solid curve, Bethe-Salpeter equation.

## TDDFT with hybrid (nonlocal) functional



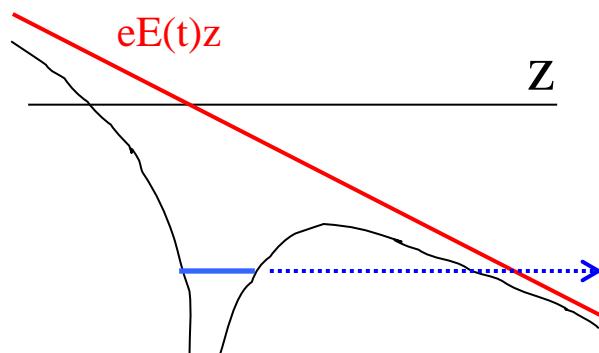
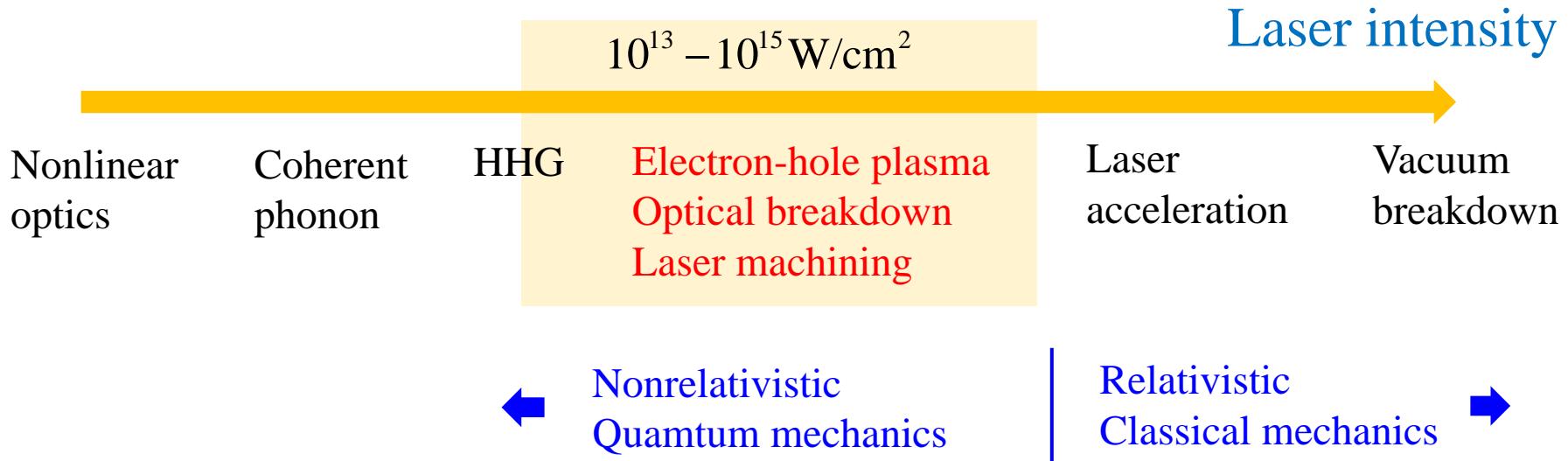
Good results for small gap materials,  
Less satisfactory for large gap materials.  
J. Paier, M. Marsman, G. Kresse,  
Phys. Rev. B78,121201 (2008)



PRL107, 186401 (2011)  
S. Sharma, J.K. Dewhurst, A. Sanna, E.K.U. Gross,  
**Bootstrap approx. for the exchange-correlation kernel**  
of time-dependent density functional theory

Limited to linear response regime.

# Intense laser pulse on solid



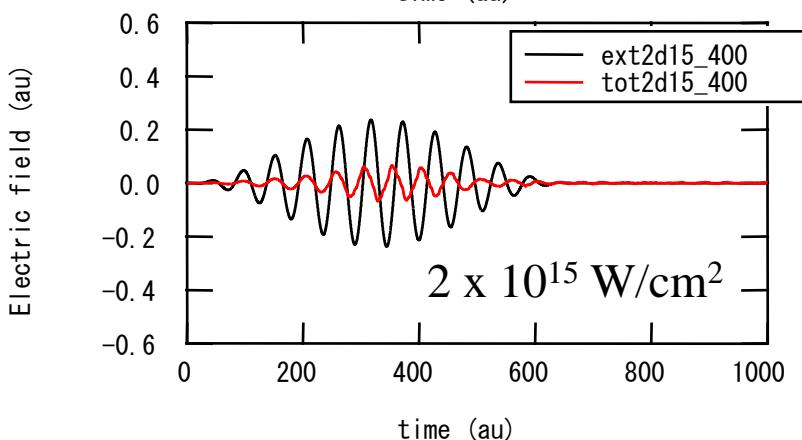
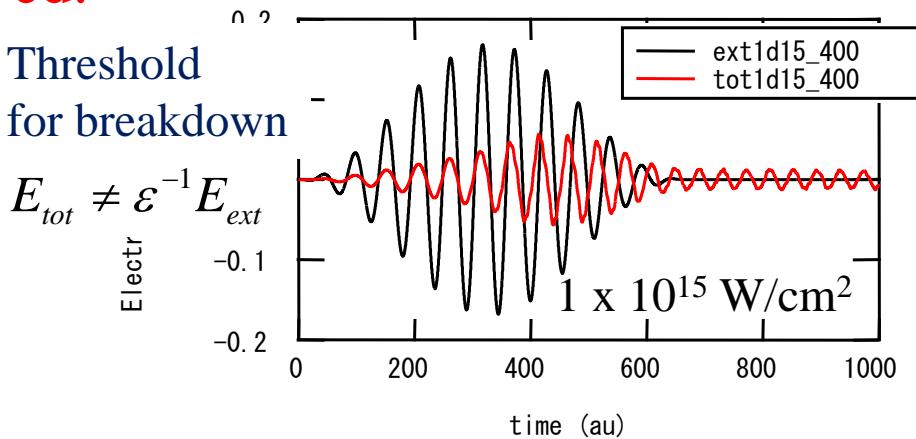
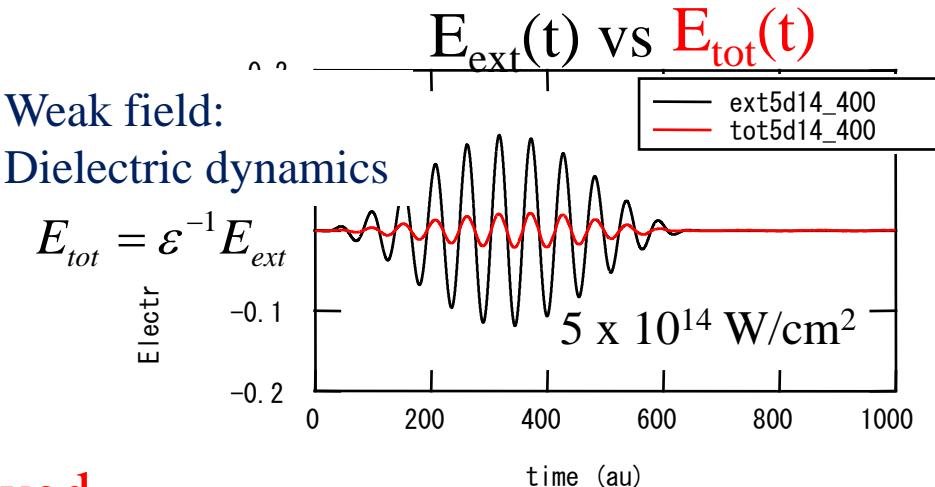
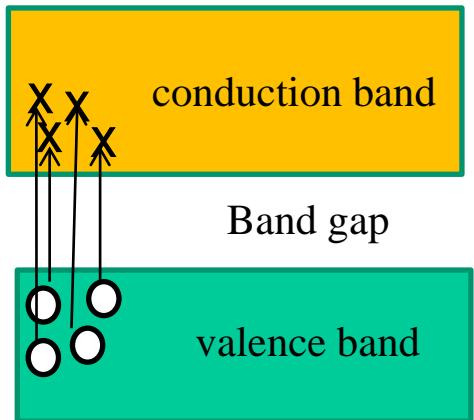
External electric field by laser pulse  
≈ Internal electric field by nuclei

# As the laser intensity increases,

Calculation for diamond  
laser frequency: 3.1 eV,  
below bandgap (4.8 eV)

At a certain intensity,  
resonant energy transfer is observed.

T. Otobe, M. Yamagiwa, J.-I. Iwata, K.Y. T. Nakatsukasa,  
G.F. Bertsch, Phys. Rev. B77, 165104 (2008)



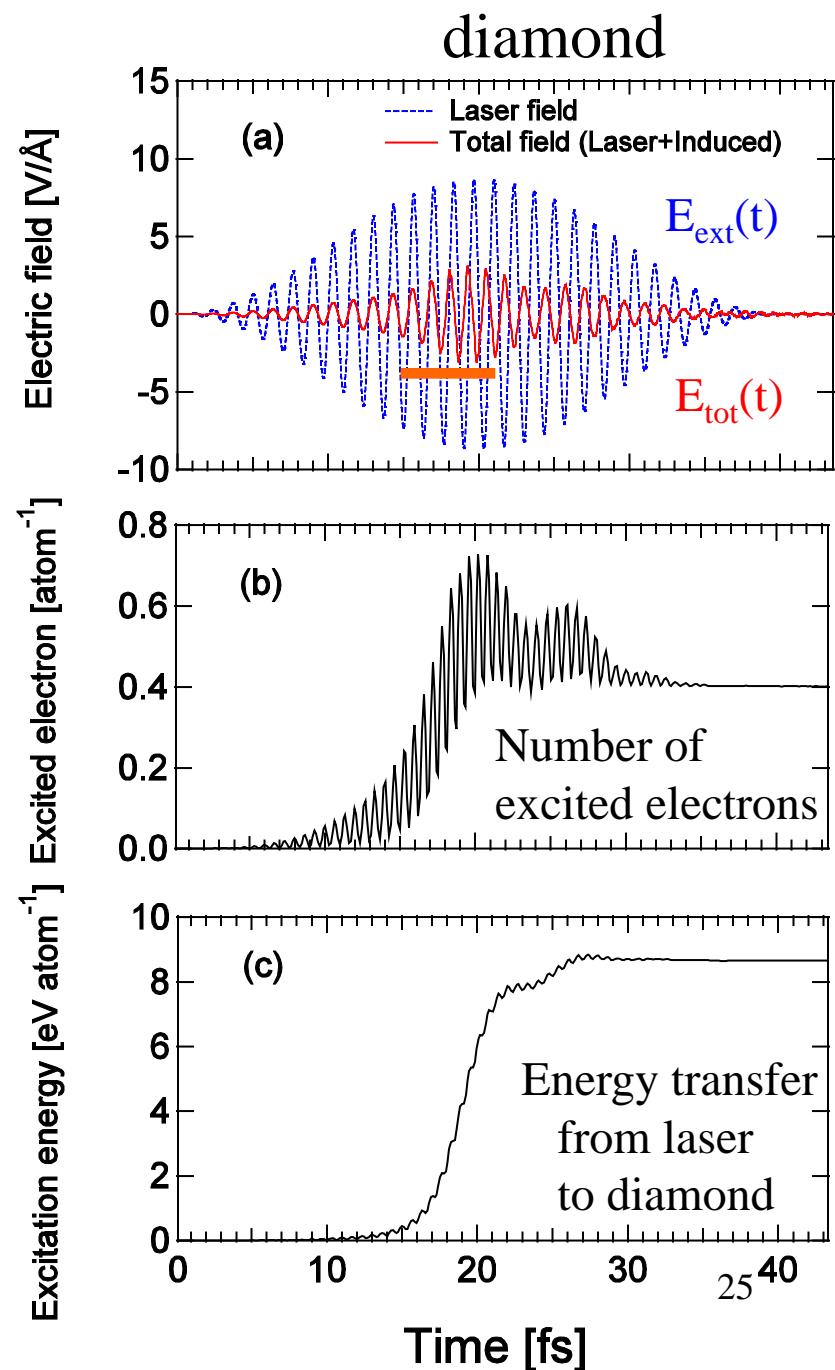
# Resonant energy transfer ( $1 \times 10^{15} \text{ W/cm}^2$ , 3.1eV, 40fs)

Incident laser frequency  
= plasma frequency of excited electrons

Plasma frequency for 0.4/atom

$$\omega_p = \left( \frac{4\pi n_{ex}}{m\epsilon(0)} \right) \approx 4 \text{ eV}$$

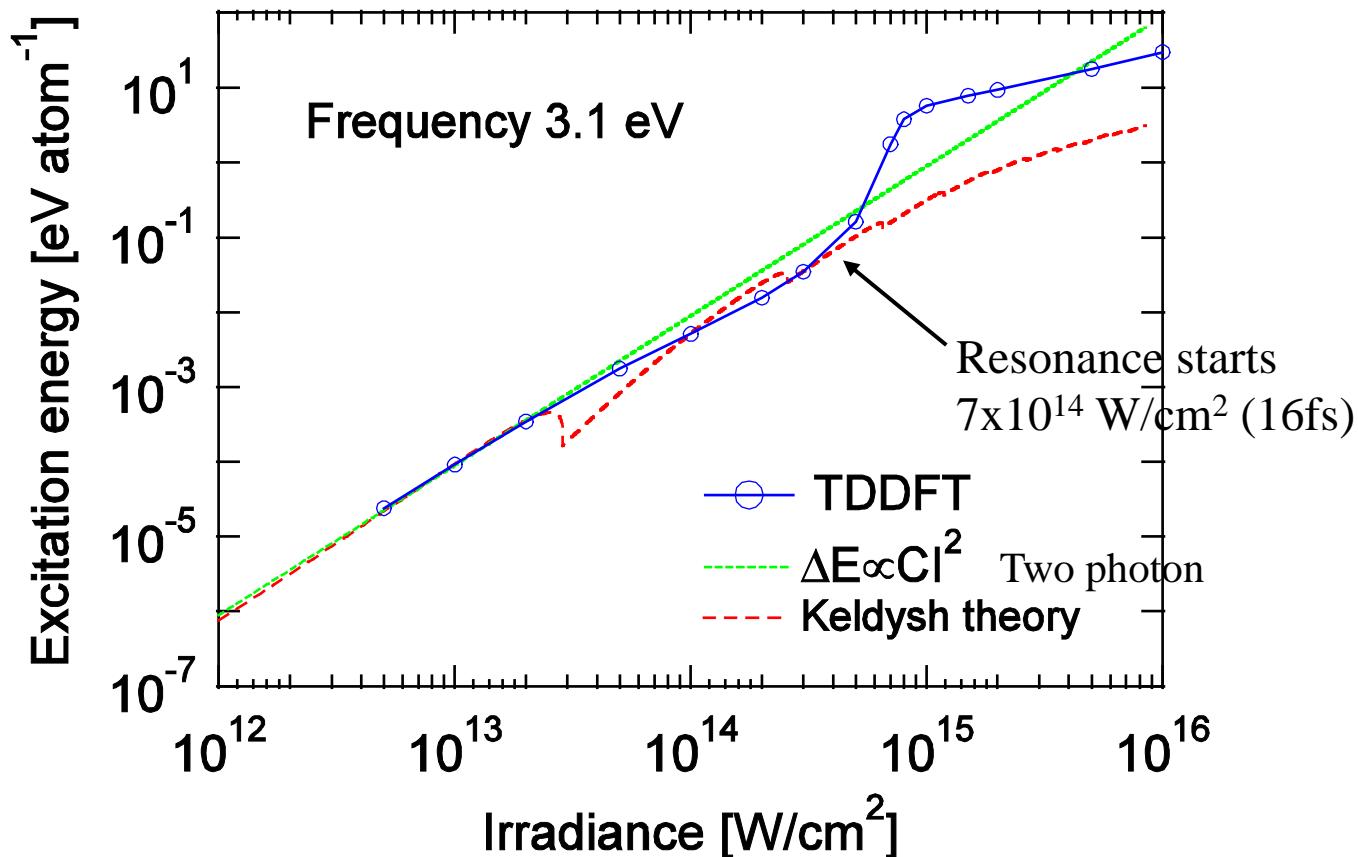
exceeds the frequency of laser pulse, 3.1eV



# Resonant intensity is higher than measured optical breakdown threshold

Calculated threshold:  $6\text{ J/cm}^2$   
Measured threshold:  $0.63 \pm 0.15 \text{ J/cm}^2$

D.H. Reitze et.al, Phys. Rev. B45, 2677(1992)



When nonlinear effects are important,  
neither longitudinal or transverse calculation is sufficient.

Up to now , we considered electron dynamics under a given field



Next step is to consider dynamics of  
both electrons and electromagnetic fields simultaneously.

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Usually, light-matter interaction is described by

Electromagnetism:  
Maxwell equation for  
macroscopic fields,  
 $E, D, B, H$

Constitution relation  
 $D = D[E] = \epsilon(\omega)E$

Quantum Mechanics:  
Perturbation theory to  
calculate linear susceptibilities,  
 $\epsilon(\omega)$

As the light intensity increases, nonlinear effects appear

Quantum mechanics is useful to calculate nonlinear susceptibilities as well.

$$D = D[E] = \epsilon(\omega)E + 4\pi\chi^{(2)}E^2 + 4\pi\chi^{(3)}E^3 + \dots$$

Extreme intensity of laser pulse



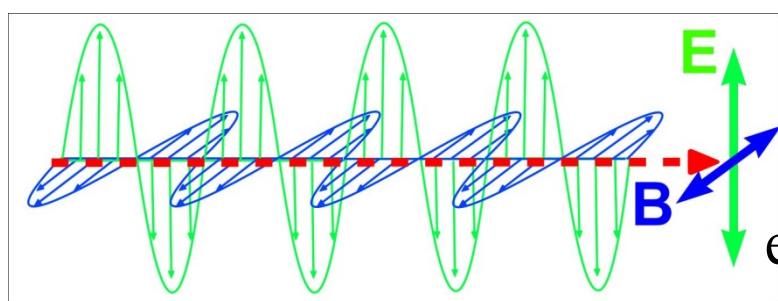
We need to solve couple Maxwell + Schroedinger dynamics.

We need to solve couple Maxwell + Schroedinger dynamics.

## Two spatial scales

Maxwell equation  
describing electromagnetic field

$$E(\vec{r}, t), \quad B(\vec{r}, t)$$

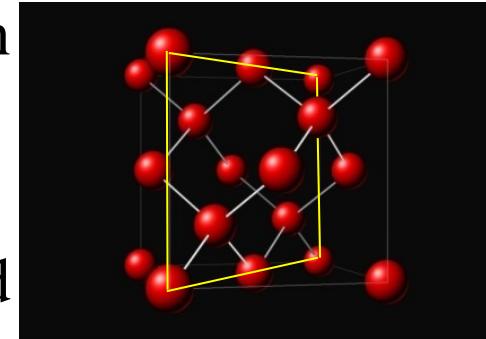


Time-dependent density-functional theory  
describing electron dynamics

$$\psi_i(\vec{r}, t)$$

polarization

external field



$[\mu\text{m}]$

Different spatial scales

$[\text{nm}]$

We introduce two spatial grids and achieve “multiscale simulation”

We consider a problem:

Laser pulse irradiation normal on bulk Si

Maxwell eq.

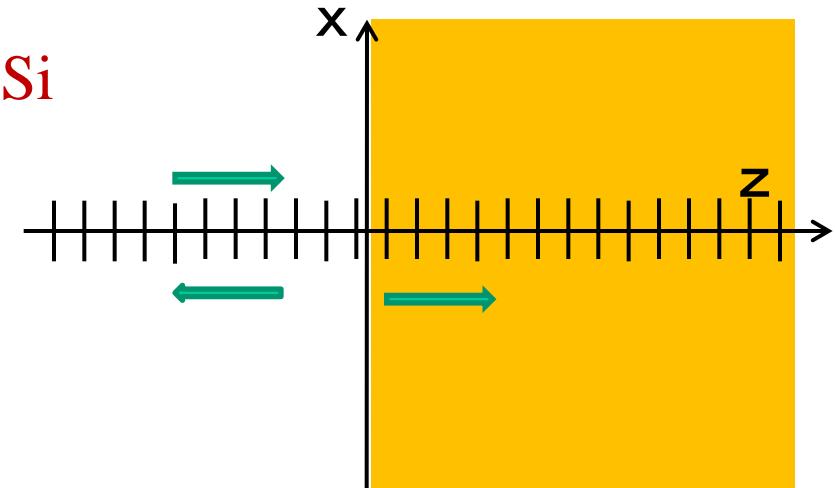
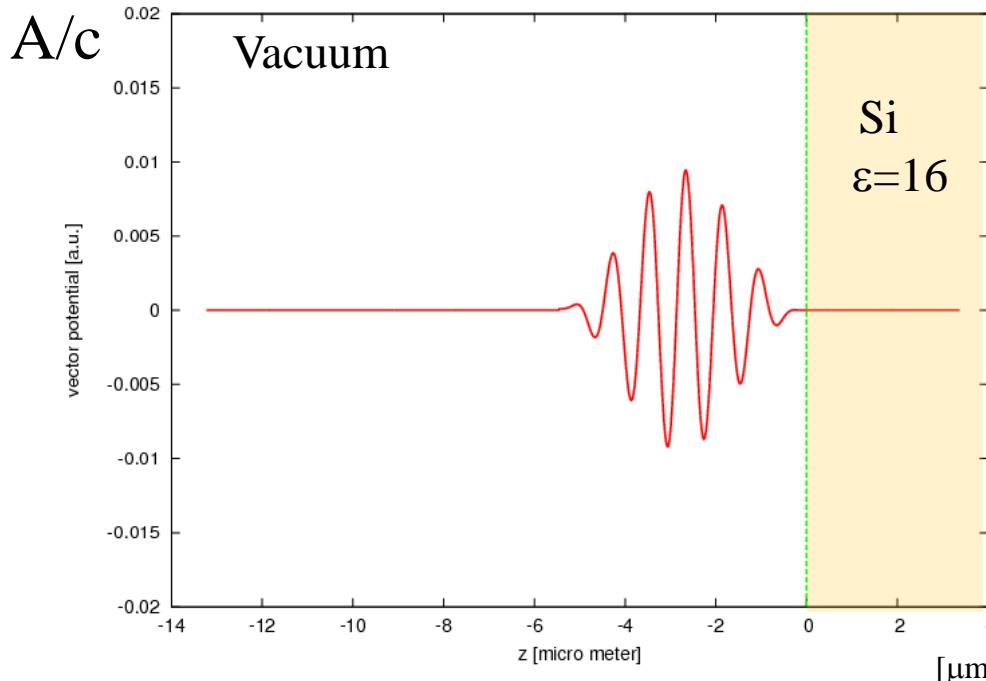
$$\frac{\epsilon(z)}{c^2} \frac{\partial^2}{\partial t^2} A(z, t) - \frac{\partial^2}{\partial z^2} A(z, t) = 0$$

Propagation z-direction, polarization x-direction

$$\vec{A}(\vec{r}, t) = A(z, t) \hat{x}$$

Laser frequency below direct bandgap

$$\lambda = 800\text{nm}, \quad \hbar\omega = 1.55\text{eV}$$



Macroscopic grid

Index of refraction

$$n = \sqrt{\epsilon}$$

Reflectance

$$R = \left( \frac{1-n}{1+n} \right)^2$$

Velocity of wave

$$v = \frac{c}{n}$$

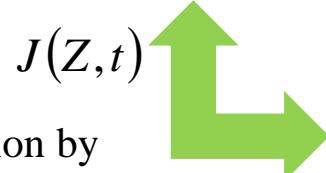
# Multiscale simulation: Formalism

K. Yabana, T. Sugiyama, Y. Shinohara, T. Otobe,  
G.F. Bertsch, Phys. Rev. B85, 045134 (2012).

Macroscopic grid points ( $\mu\text{m}$ )  
to describe macroscopic vector potential

$$\frac{1}{c^2} \frac{\partial^2}{\partial t^2} A(Z, t) - \frac{\partial^2}{\partial Z^2} A(Z, t) = \frac{4\pi}{c} J(Z, t)$$

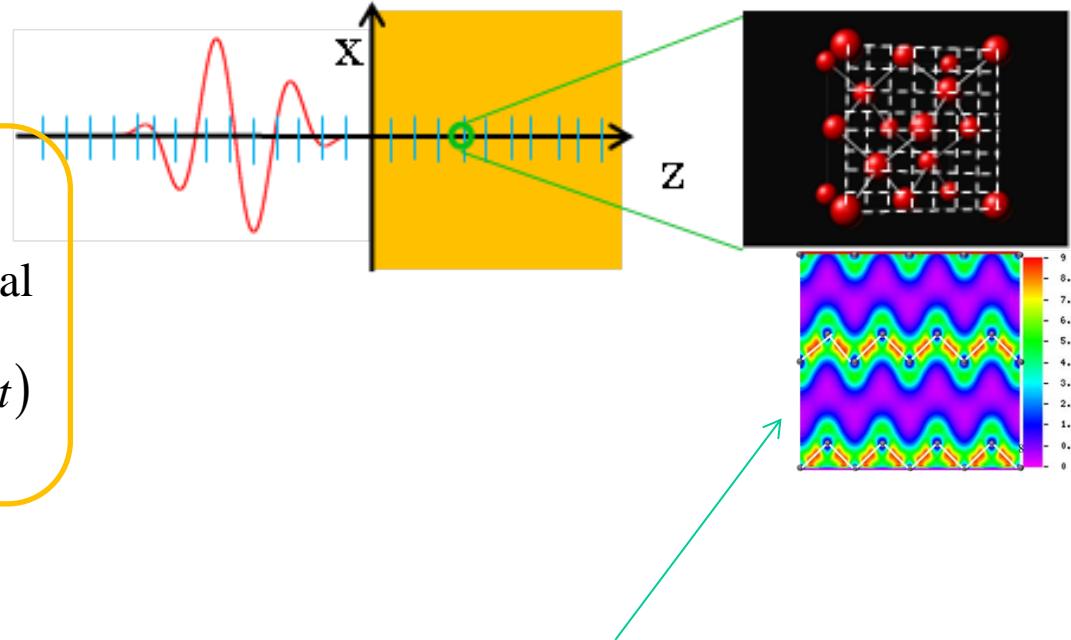
Exchange of information by  
macroscopic current and  
macroscopic vector potential.



$$J(Z, t) = \int_{\Omega} d\vec{r} \vec{j}_{e,Z}$$

$$\vec{j}_{e,Z} = \frac{\hbar}{2mi} \sum_i (\psi_{i,Z}^* \vec{\nabla} \psi_{i,Z} - \psi_{i,Z} \vec{\nabla} \psi_{i,Z}^*) - \frac{e}{4\pi c} n_{e,Z} \vec{A}$$

At each macroscopic grid point,  
We consider a unit cell and prepare microscopic grid.



At each macroscopic points, Kohn-Sham orbitals  $\psi_{i,Z}$  are prepared, and described in microscopic grids.

$$i\hbar \frac{\partial}{\partial t} \psi_{i,Z} = \frac{1}{2m} \left( -i\hbar \vec{\nabla} + \frac{e}{c} \vec{A} \right)^2 \psi_{i,Z} - e\phi_Z \psi_{i,Z} + \frac{\delta E_{xc}}{\delta n} \psi_{i,Z}$$

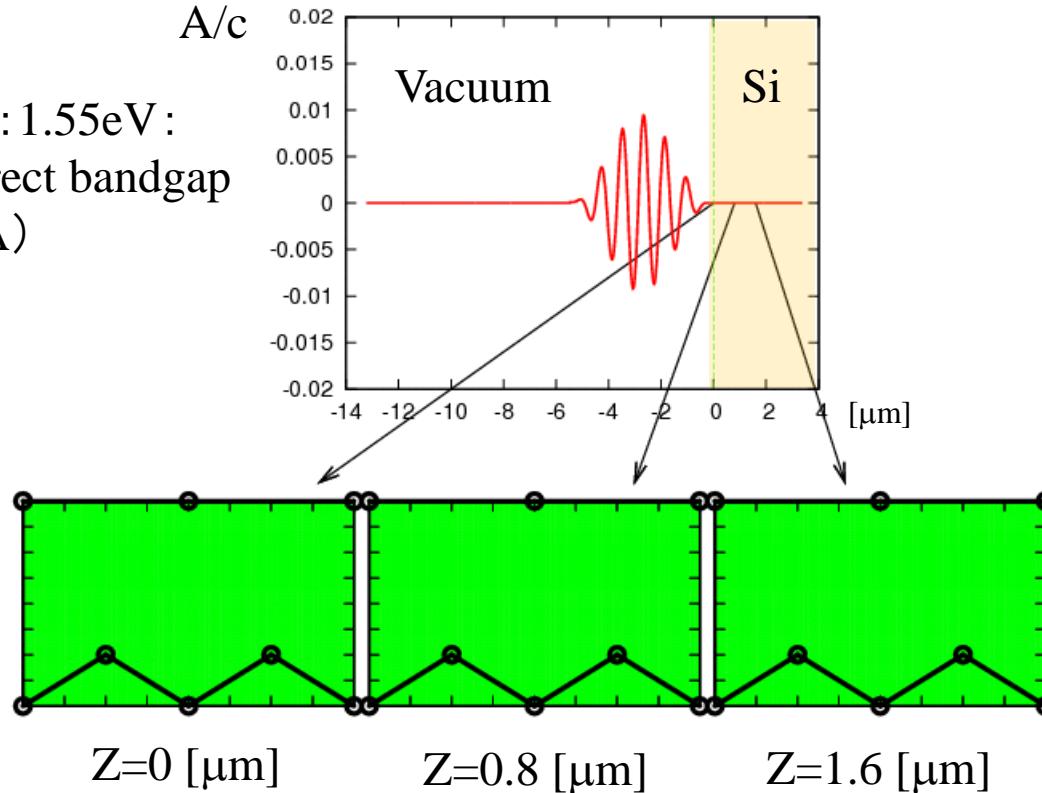
$$\vec{\nabla}^2 \phi_Z = -4\pi \{ e n_{ion} - e n_{e,Z} \}$$

## Propagation of weak pulse

(Linear response regime, separate dynamics of electrons and E-M wave)

$$I=10^{10} \text{W/cm}^2$$

A/c  
Laser frequency : 1.55eV :  
lower than direct bandgap  
2.4eV(LDA)

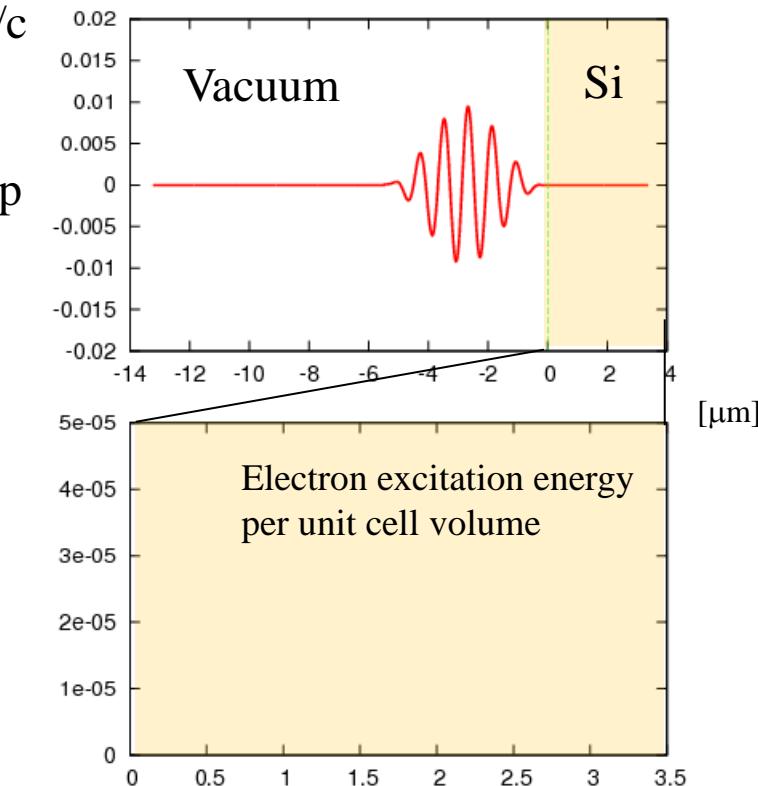


## Propagation of weak pulse

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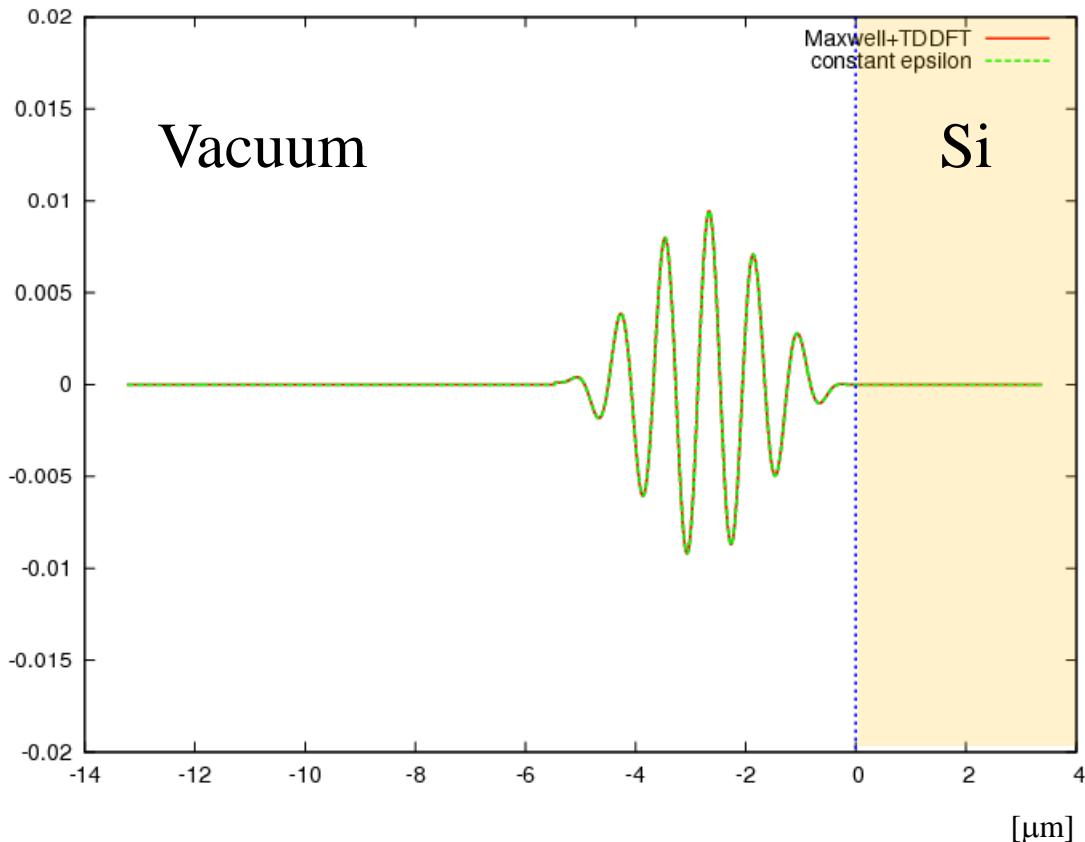


# Maxwell+TDDFT vs Maxwell only (constant $\epsilon$ )

Red = Maxwell + TDDFT

Green = Maxwell     $\frac{\epsilon}{c^2} \frac{\partial^2}{\partial t^2} A(z, t) - \frac{\partial^2}{\partial z^2} A(z, t) = 0$      $\epsilon = 16$

A/c



In linear regime,  
dispersion of dielectric function  
Induces

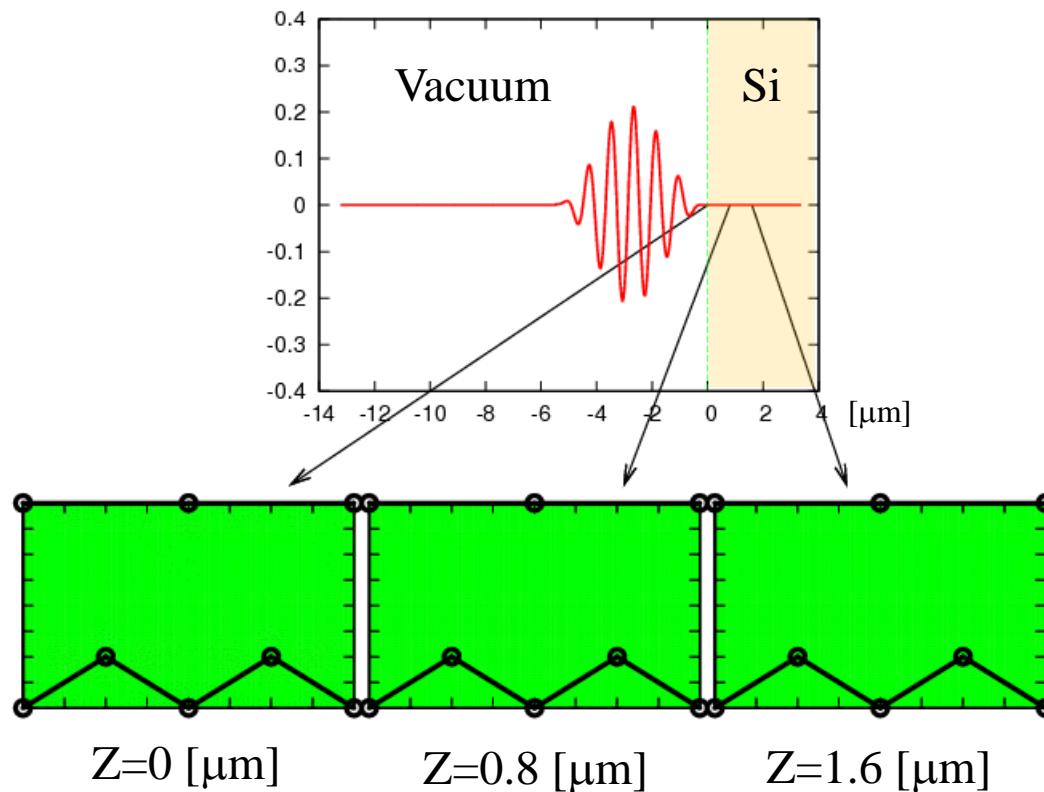
Phase velocity vs group velocity

$$v = \frac{c}{\sqrt{\epsilon}} \quad v_g = \frac{c}{\sqrt{\epsilon} \left( 1 + \frac{\omega}{2\epsilon} \frac{d\epsilon}{d\omega} \right)}$$

Chirp effect is also seen

More intense laser pulse  
Maxwell and TDKS equations no more separate.

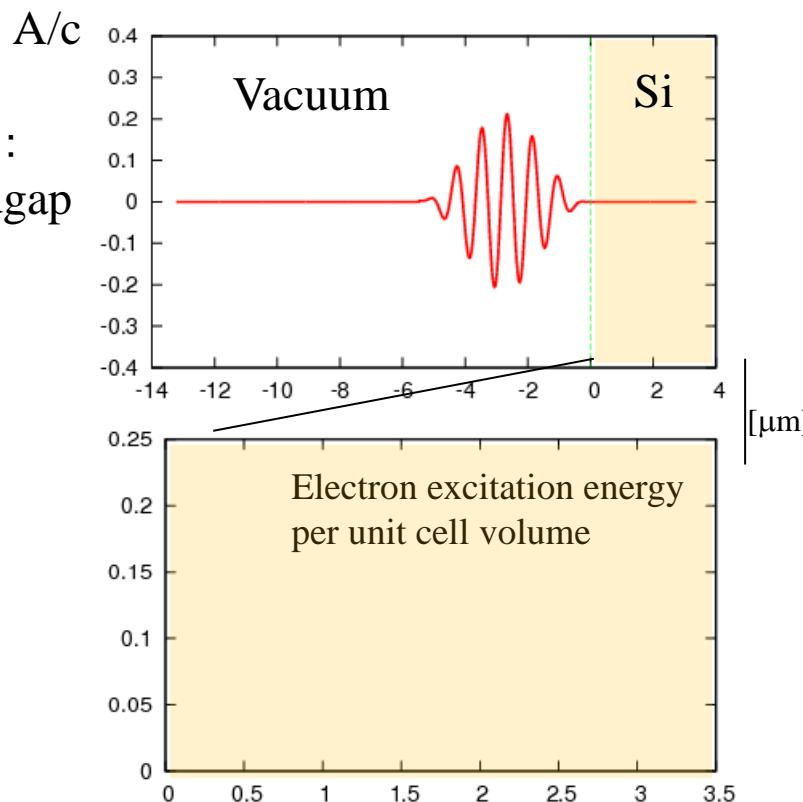
$$I = 5 \times 10^{12} \text{ W/cm}^2$$



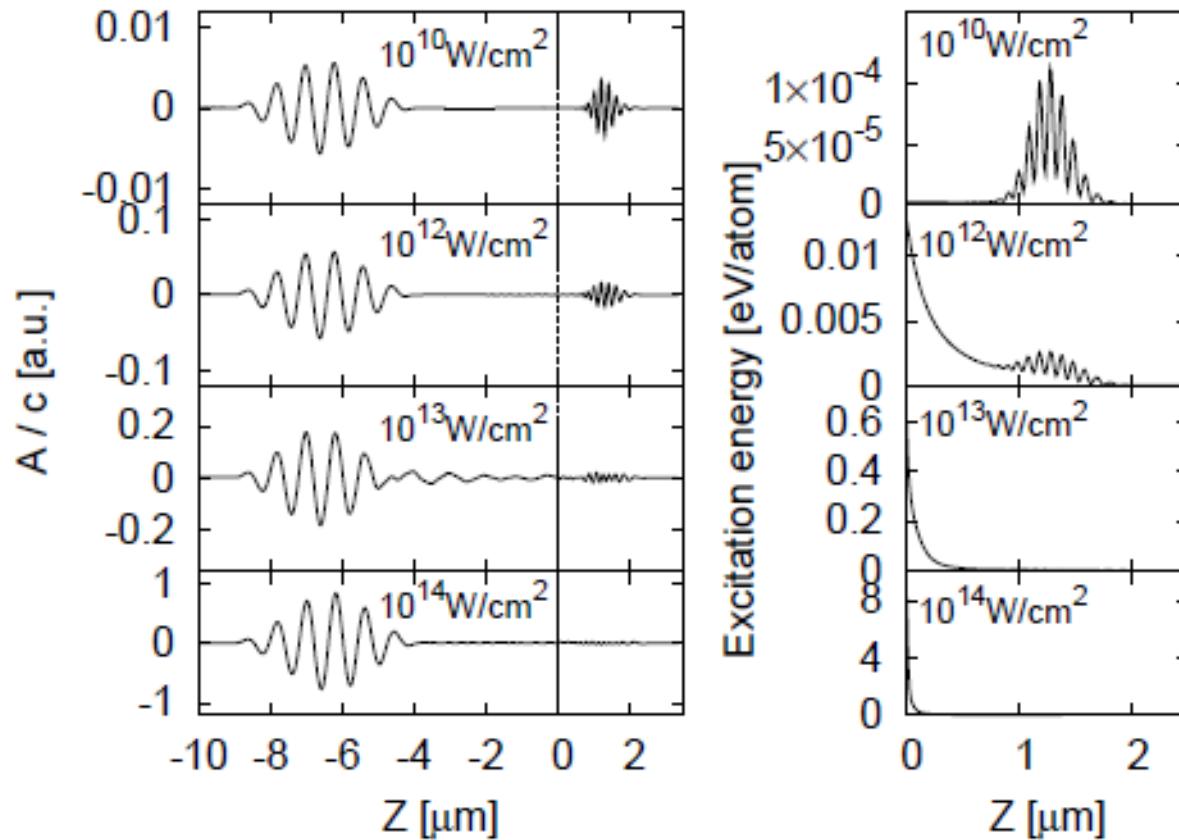
## More intense pulse (2-photon absorption dominates)

$$I = 5 \times 10^{12} \text{W/cm}^2$$

Laser frequency : 1.55eV :  
lower than direct bandgap  
2.4eV(LDA)

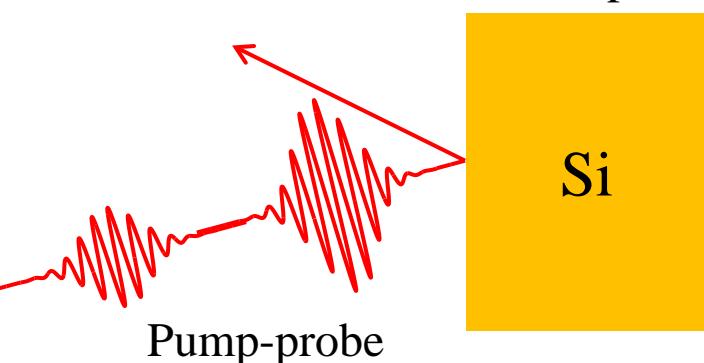


# Vector potential and electronic excitation energy after the laser pulse splits into reflected and transmitted waves



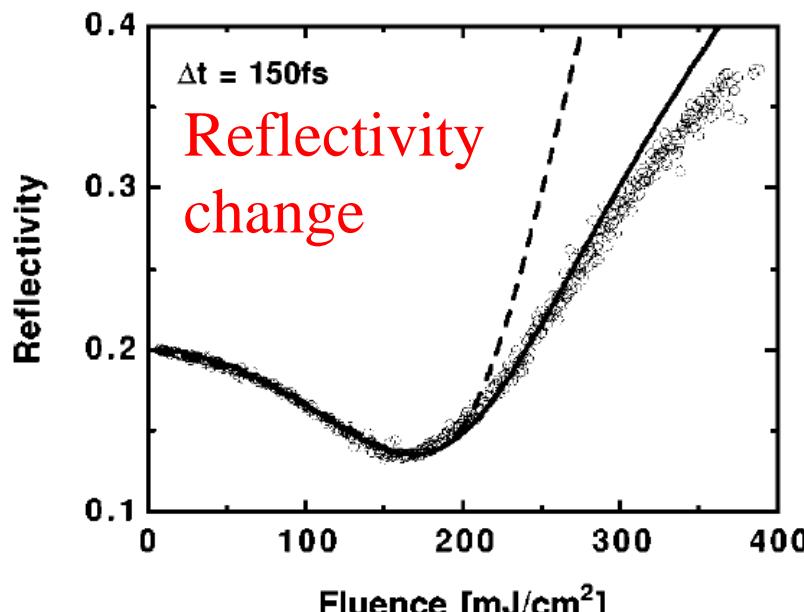
# Dense electron-hole plasma generation at the surface modifies dielectric properties at the surface.

$\lambda=625\text{nm}$ , 100fs pulse



Strong pump-pulse excites electrons at the surface,  
forming dense electron-hole plasma

K. Sokoowski-Tinten, D. von der Linde,  
Phys. Rev. B61, 2643 (2000)



Probe-pulse measures change of  
Dielectric properties.

Drude model fit

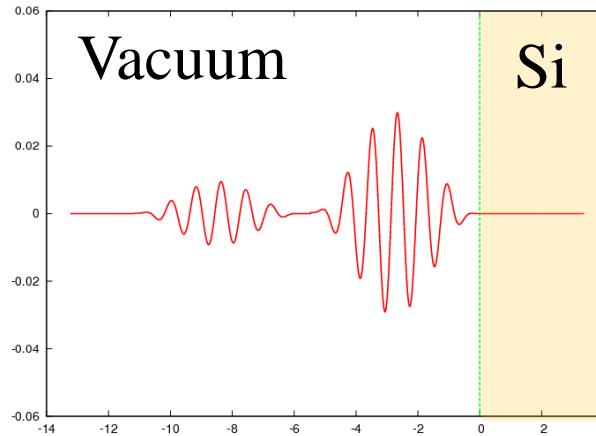
$$\varepsilon(n_{ph}) = \varepsilon_{gs} - \frac{4\pi e^2 n_{ph}}{m^*} \frac{1}{\omega \left( \omega + \frac{i}{\tau} \right)}$$

$$m^* = 0.18, \tau = 1\text{fs}$$

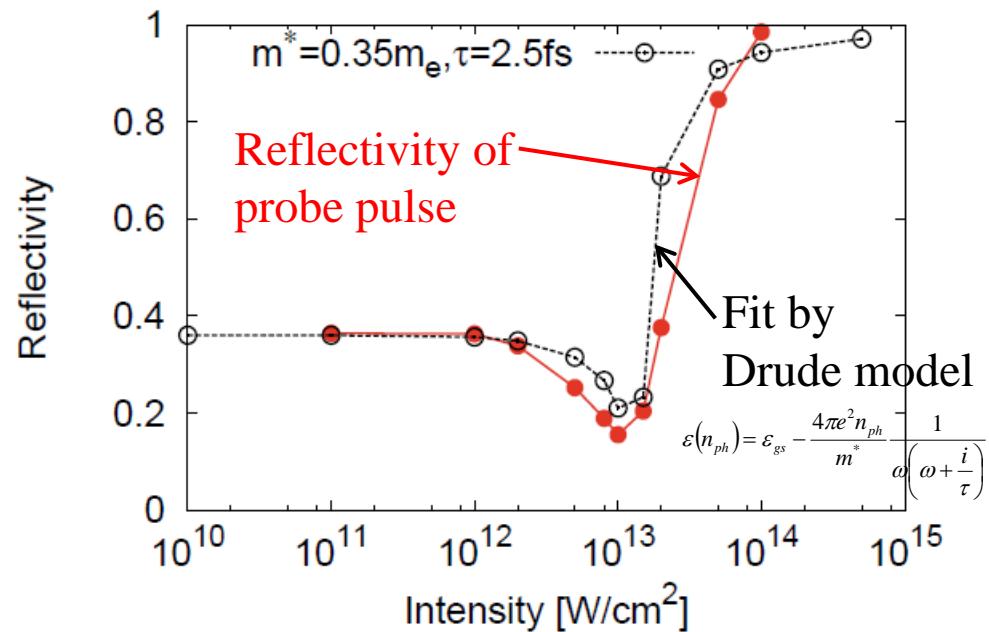
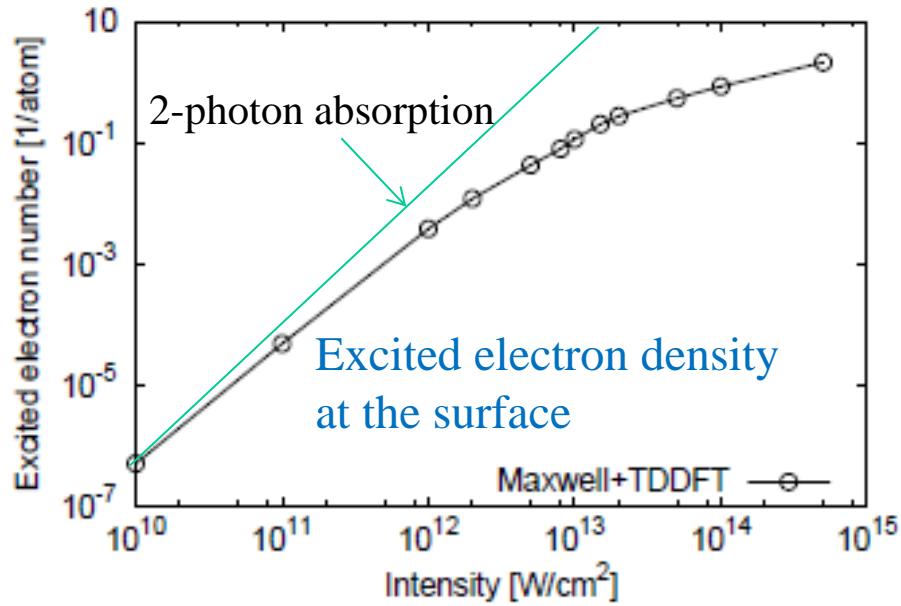
Pump-pulse intensity

# “Numerical Pump-Probe Experiment”

$I=1 \times 10^{11} \text{ W/cm}^2$ ,  
 $h\nu=1.55 \text{ eV}$



We can calculate  
excited electron density at the surface by pump-pulse / reflectivity of probe pulse



# Computational Aspects

## Large computational resources required for multi-scale calculation

1,000 cores, 10 hours (SGI Altix, U. Tokyo)

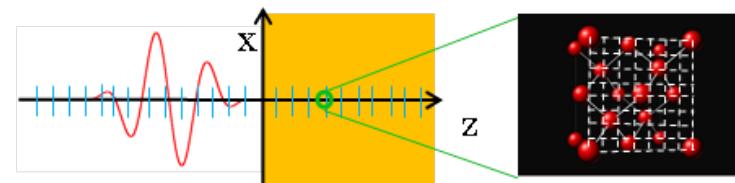
20,000 cores, 20 min (K-computer, Kobe)

macroscopic grid: 256

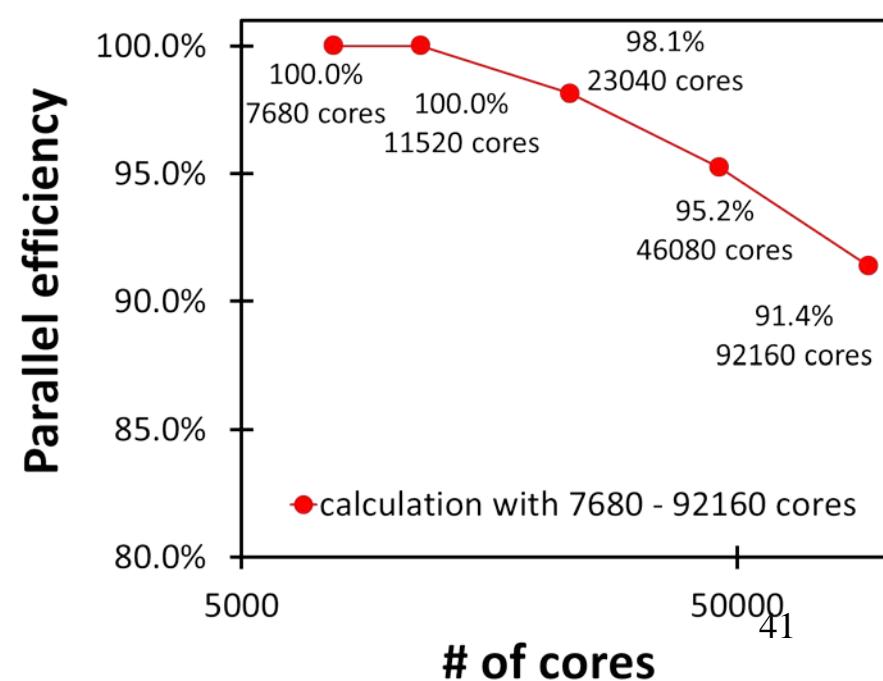
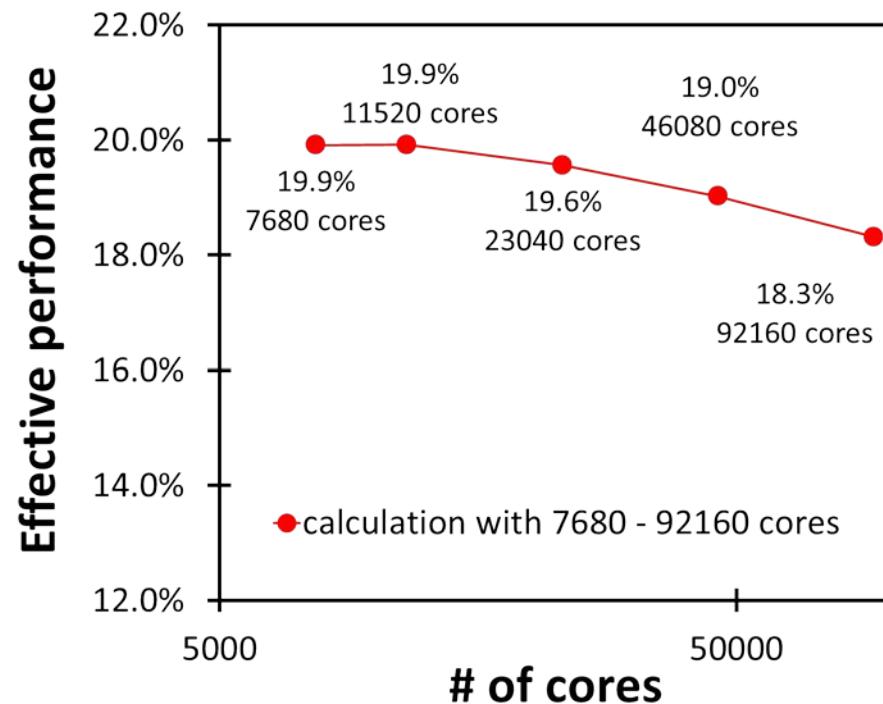
microscopic grid:  $16^3$

k-points  $8^3 \rightarrow 80$  (too small)

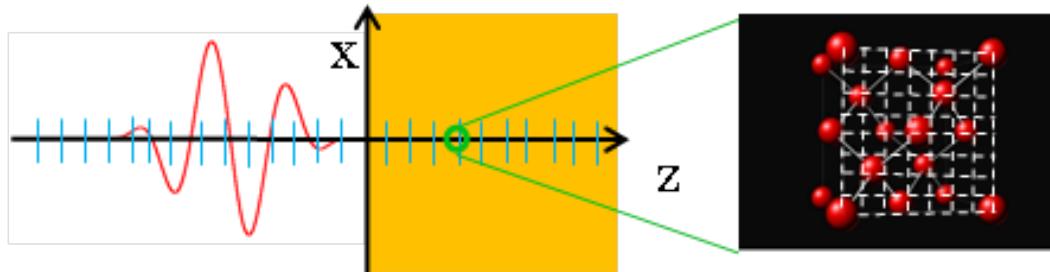
time step: 16,000



### Performance at K-Computer in Kobe (in early access)



# Future Prospects: Multi-dimensional wave propagation



At present, 1-dim propagation (macroscopic grid)

Si, diamond:

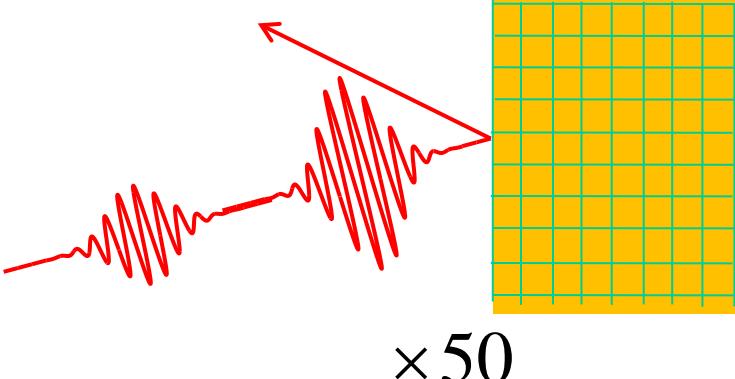
1,000 cores, 10 hours

20,000 cores, 20 min (K-computer, Kobe)

$\text{SiO}_2$  ( $\alpha$ -quartz)

30,000 cores, 2 hours

Oblique incidence, 2-dim



3-dim

- Self focusing
- Circular polarization

A million of macro-grid points

$\times 1,000$

need to wait next generation  
supercomputers

# Summary

## Electron dynamics in bulk periodic solid by real-time TDDFT

- First-principles description of electron dynamics in femto- and atto-second time scale
- Applications to
  - dielectric function (linear response)
  - coherent phonon generation
  - optical breakdown

## Coupled Maxwell + TDDFT multi-scale simulation

- Promising tool to investigate laser-matter interaction
- Requires large computational resources, a computational challenge

## Further developments necessary

- Surface dynamics, electron emission
- Collision effects (electron-electron, electron-phonon)